

PHYSICALLY BASED LEVEL 2 OBTAINED FROM IASI OBSERVATIONS COLLECTED DURING THE JAIVEX FIELD EXPERIMENTS

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Outline

- general considerations on UWPHYSRET;
- application of UWPHYSRET to IASI data collected during JAIVEx
 - examples of Level 2 Products;
 - surface emissivity retrievals;
 - use of PCA noise filter for Level 2 Product validation;
 - generation of Level 2 products from PCA noise filtered data;
- general conclusions;
- future development.

General considerations on UWPHYSRET

UWPHYSRET

- Attempt to build a *reference* physical retrieval package;
 - Based on Clive Rodger's methodology (Bayesian approach);
- **Simultaneous retrieval of Temperature, Water Vapor, Ozone, CO₂, Surface Temperature, Surface Emissivity;**
- Designed to run with different instruments:
 - S-HIS;
 - IASI;
- Designed to run with different forward models:
 - LBLRTM 11.6;
 - SARTA;
- Implemented in matlab and runs with octave;

Solution

- Iterative solution (Gauss-Newton):

- x is the state vector (a stands for a-priori, n is the iteration number);
- Y is the observation vector (radiance);
- $F(x)$ is the calculated observation vector (radiance); $Y = F(x_n) + \text{noise}$
- K is the Jacobian Matrix; $Y = K_n(x_n - x_a) + \text{noise}$
- S_a is the covariance matrix of the a-priori knowledge;
- S_e is the covariance matrix of the Instrument noise;

Open Issues

- Error covariance matrix characterization should be improved;
 - Forward model errors should be accounted for;
- A-priori covariance matrix characterization should be improved;
 - Trace gas climatology not accurate;
- Regularization of covariance matrices should be improved;
- Test for convergence is to be changed;
- Software should be optimized for speed.

Current applications

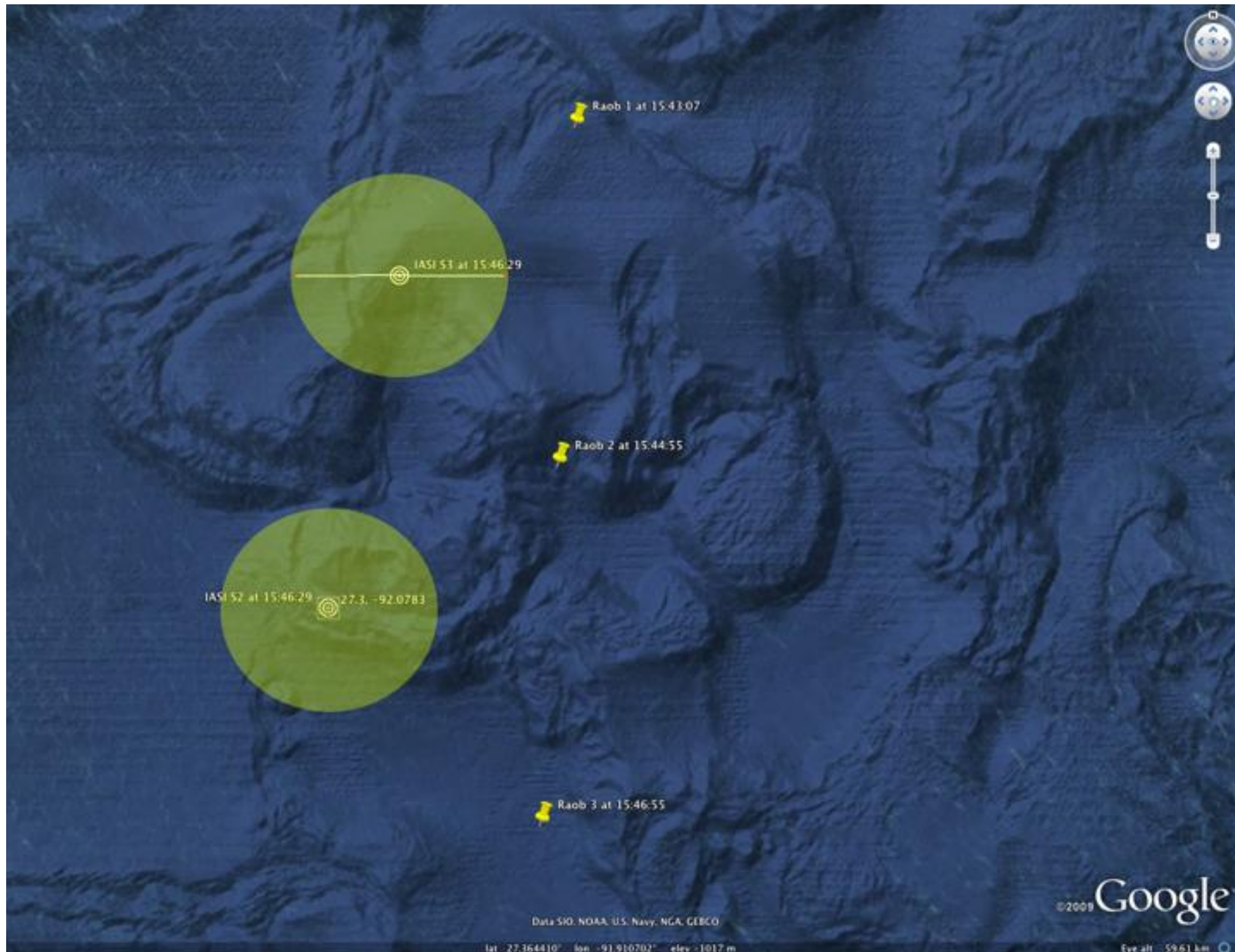
- Evaluation of level 2 product accuracy;
- Estimation of PCA noise filtering impact on level 2 products;
- Investigation of the role of Noise Covariance Matrix on retrieval accuracy;
- Investigation of the role of Climatology Covariance Matrix on retrieval accuracy;
- Surface Emissivity Retrieval;
- Estimation of Stability Indices from retrieved profiles;

Examples of Level 2 products

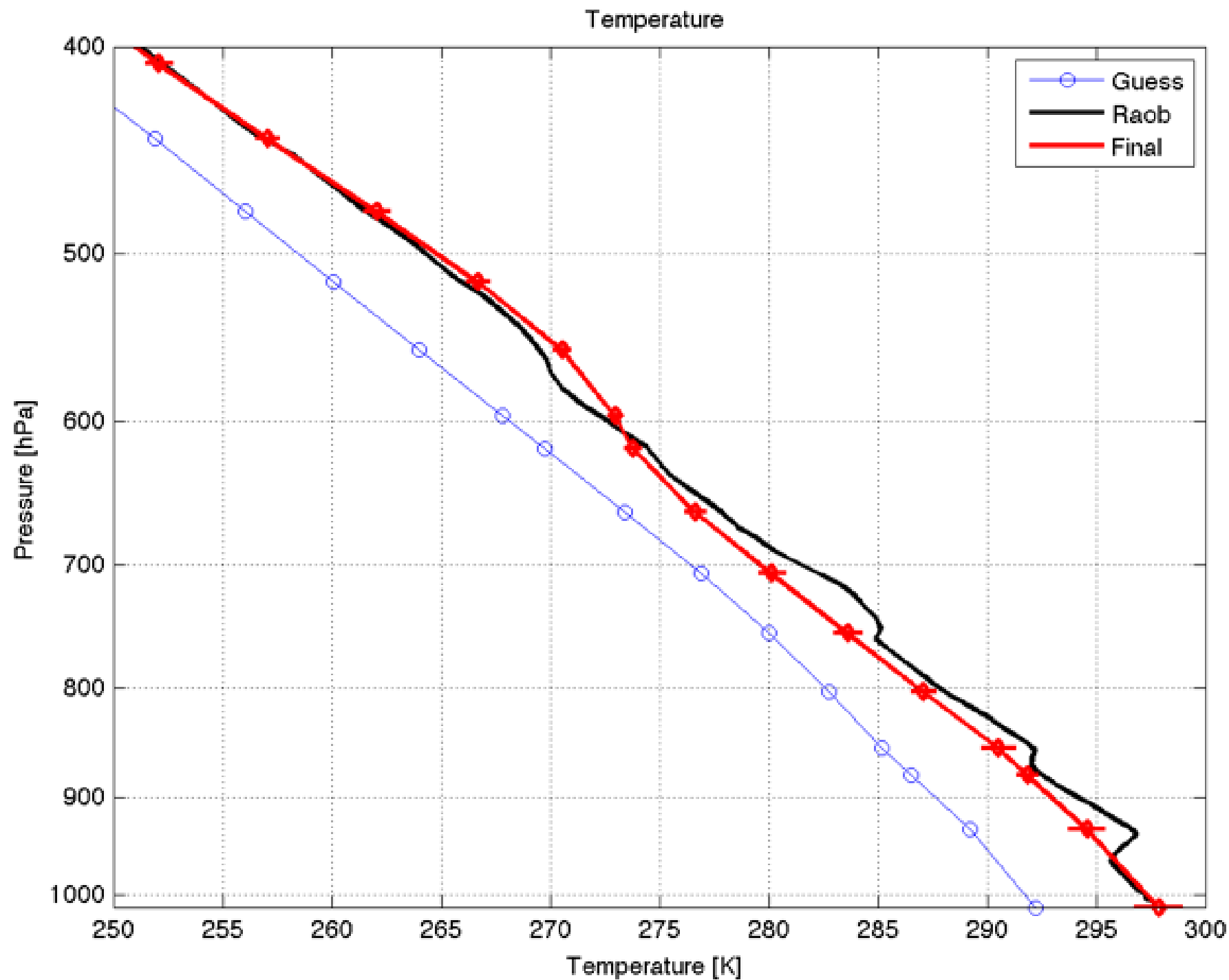
Retrieval characteristics

- IASI retrievals were obtained from IASI apodized radiances;
- Noise used to characterize the error covariance matrix was the square actual instrument noise estimates provided by CNES increased from 0% to up to 70%;
- Retrieval was performed in simultaneous mode for T, WV, O3, CO2, SKT, and SE;
- Retrieval for JAIVEx data was done for:
 - IASI data;
 - S-HIS data;
 - NAST-I data convolved at S-HIS resolution;
 - IASI data convolved at S-HIS resolution;

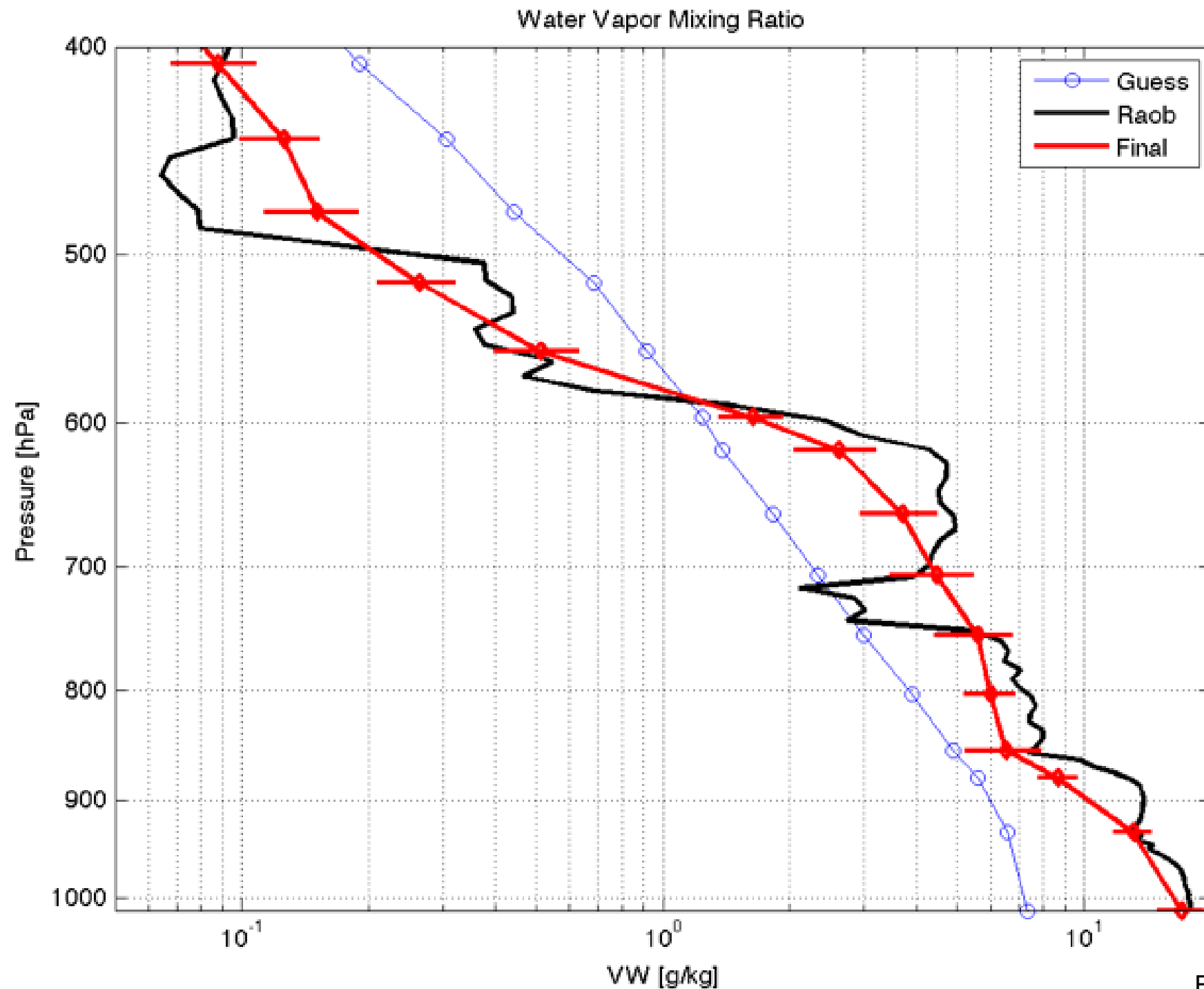
IASI S2: 04 May 2007



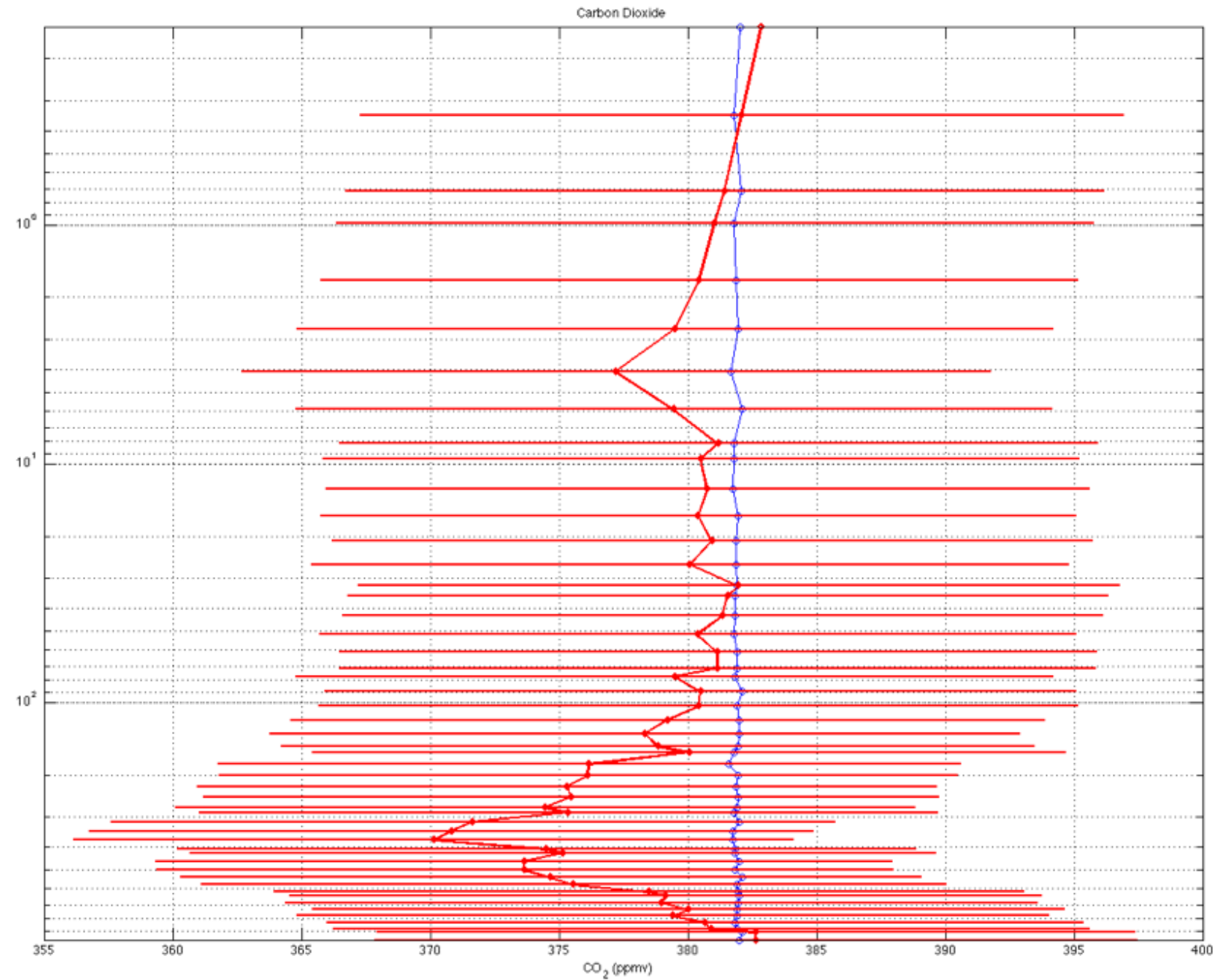
S3: Temperature Retrieval



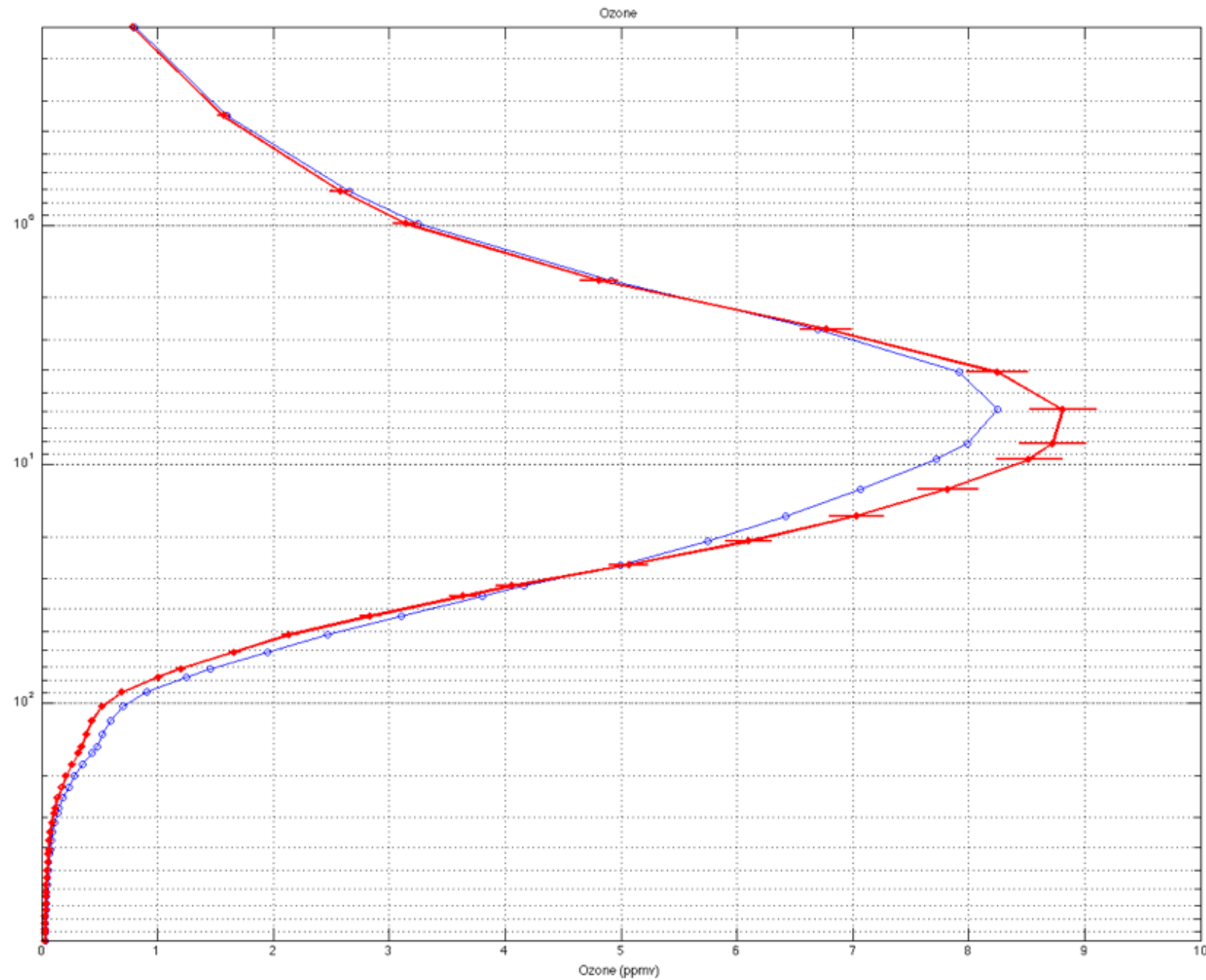
S3: Water Vapor Mixing Ratio



S3: Carbon Dioxide



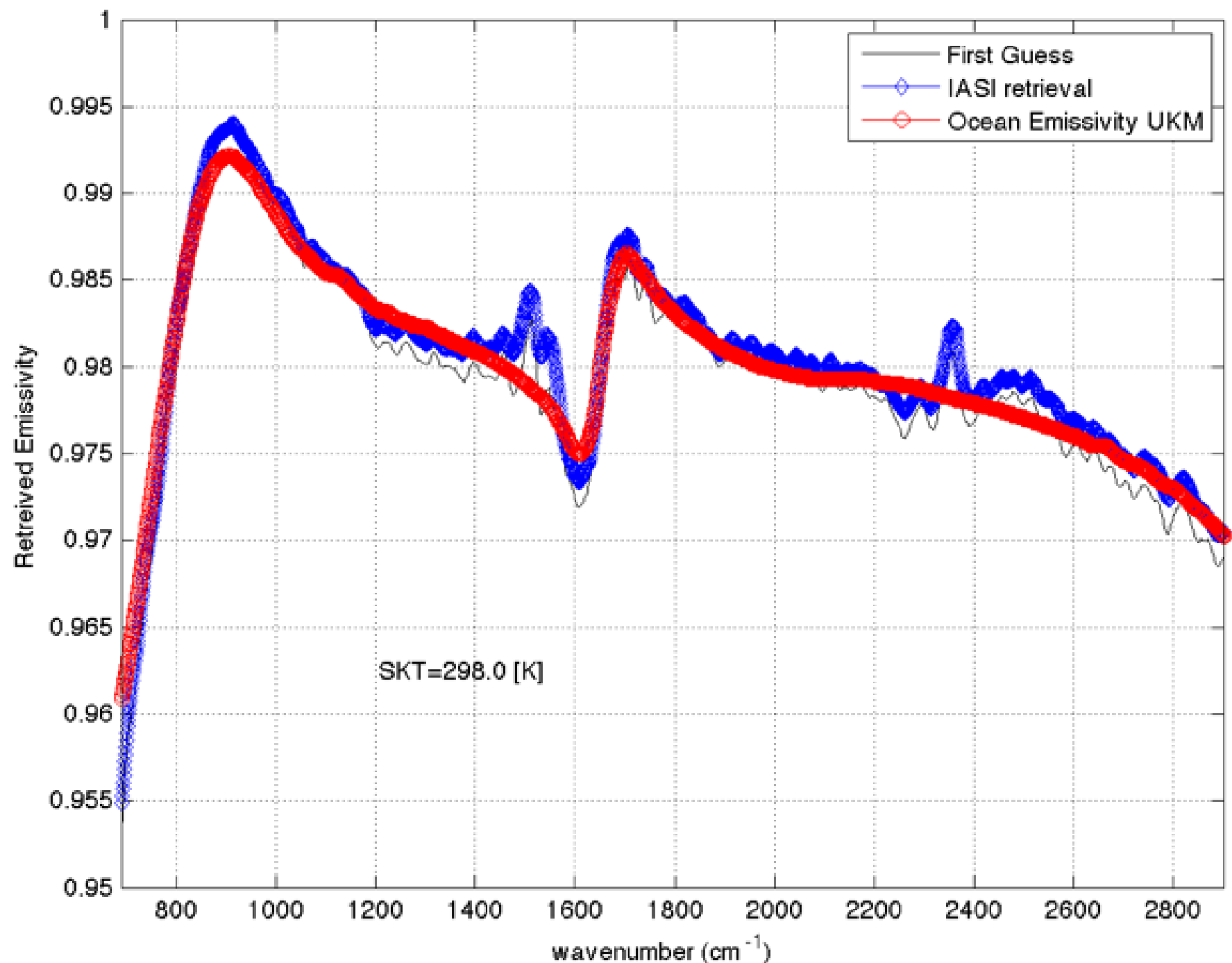
S3: Ozone



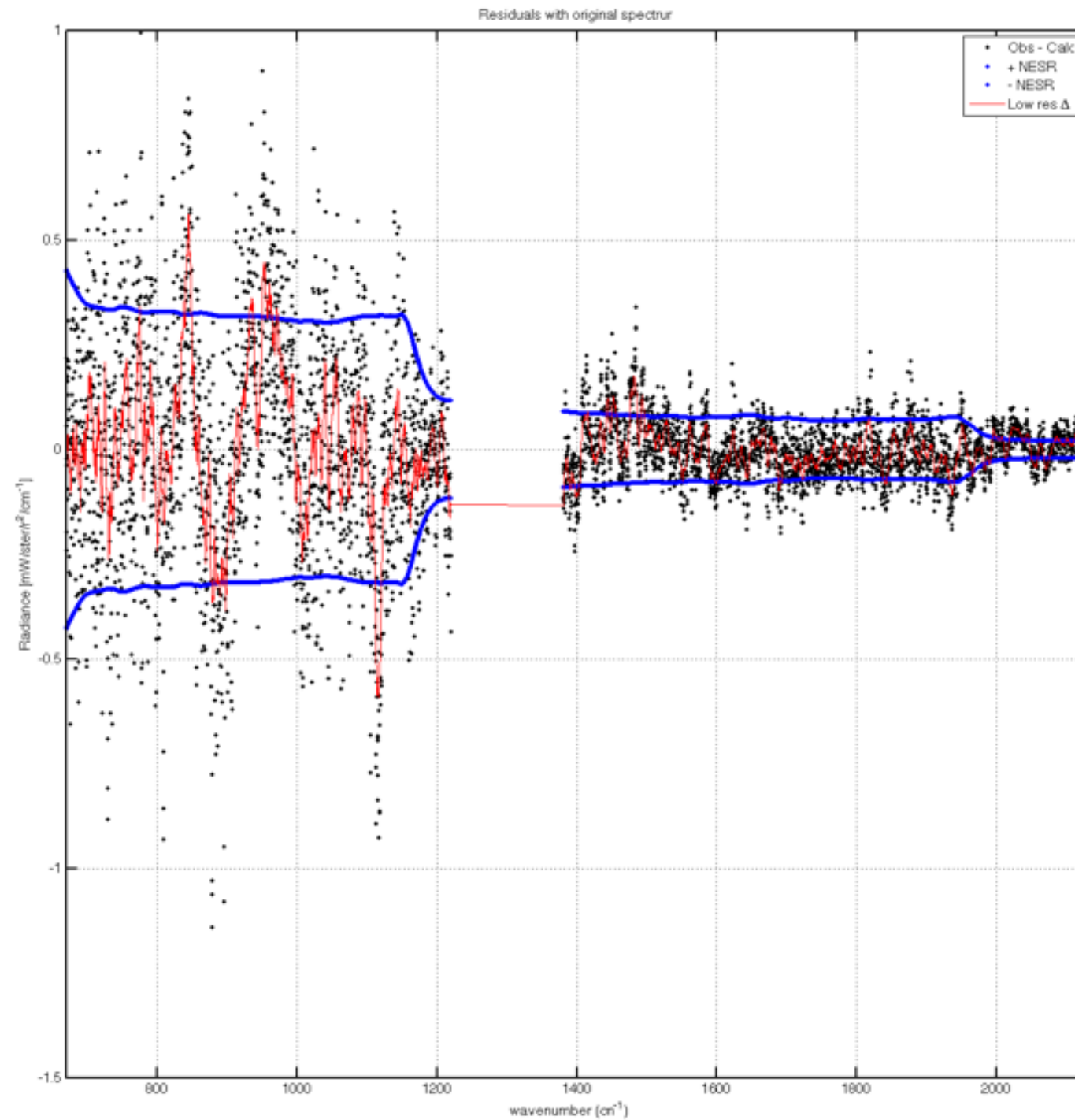
S3: Surface Emissivity and Surface Temperature

First Guess of SE was derived from ocean emissivity PCs

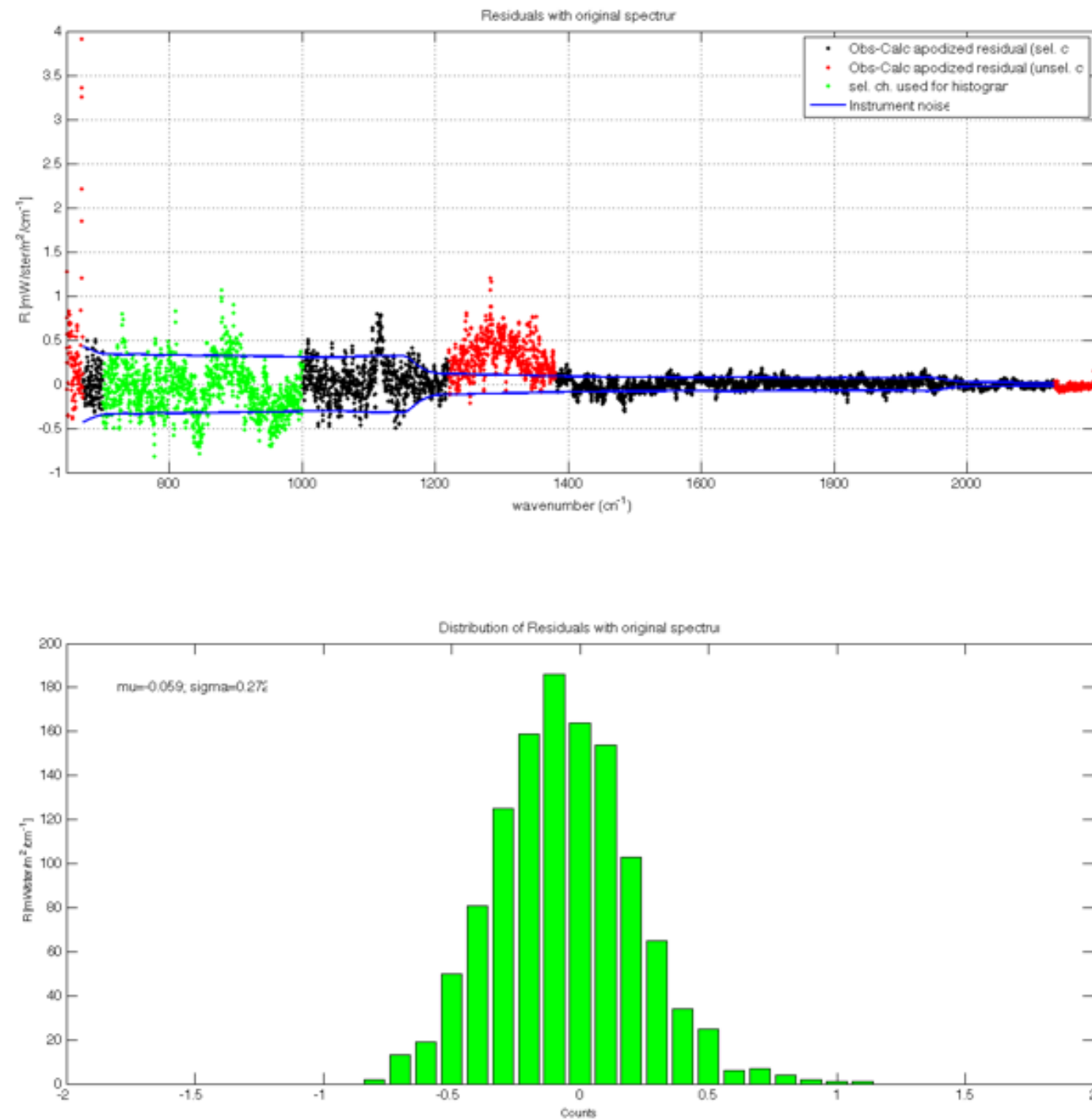
ARIES
Estimated
ST= 297.4K



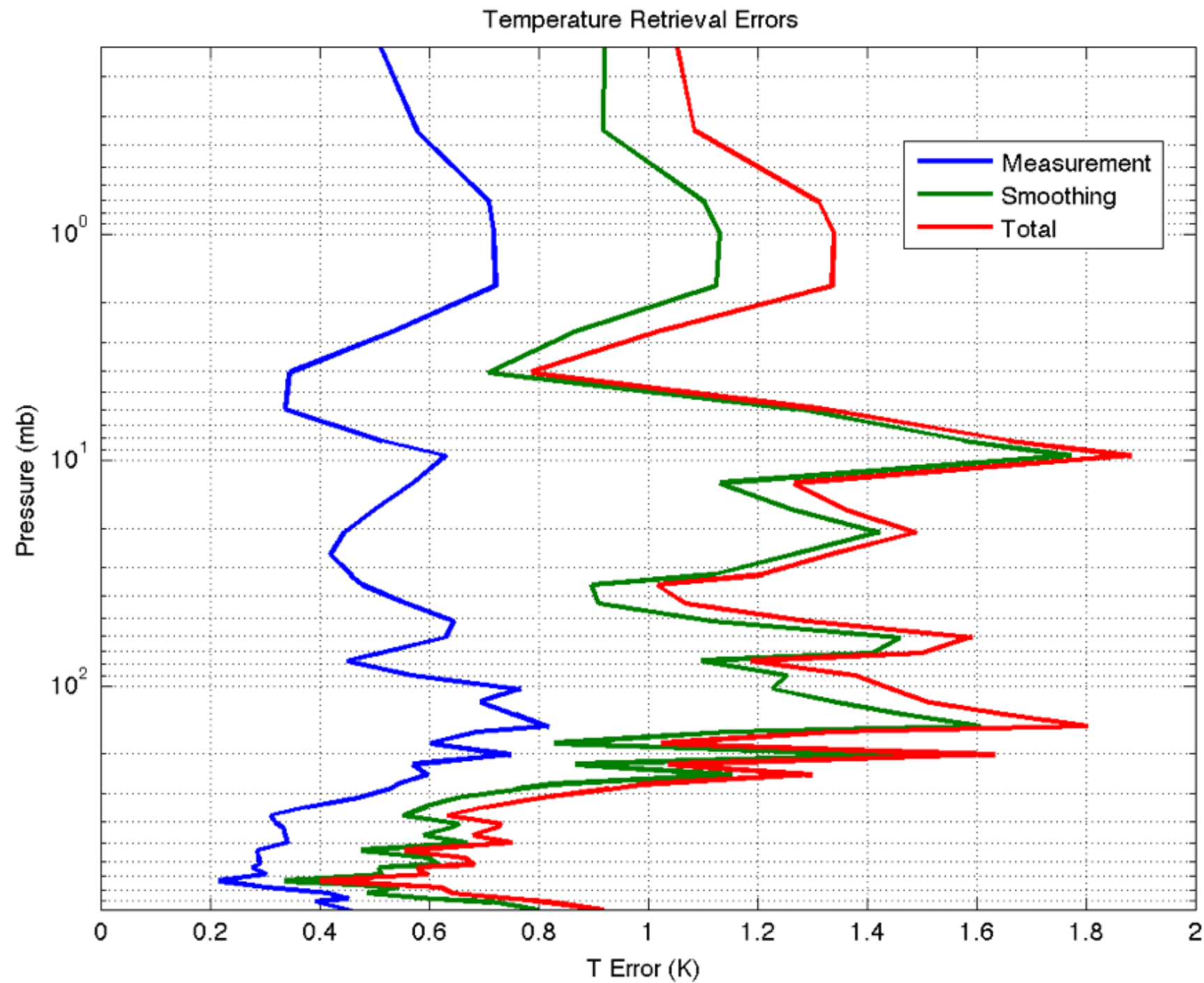
S3: Radiance Residuals



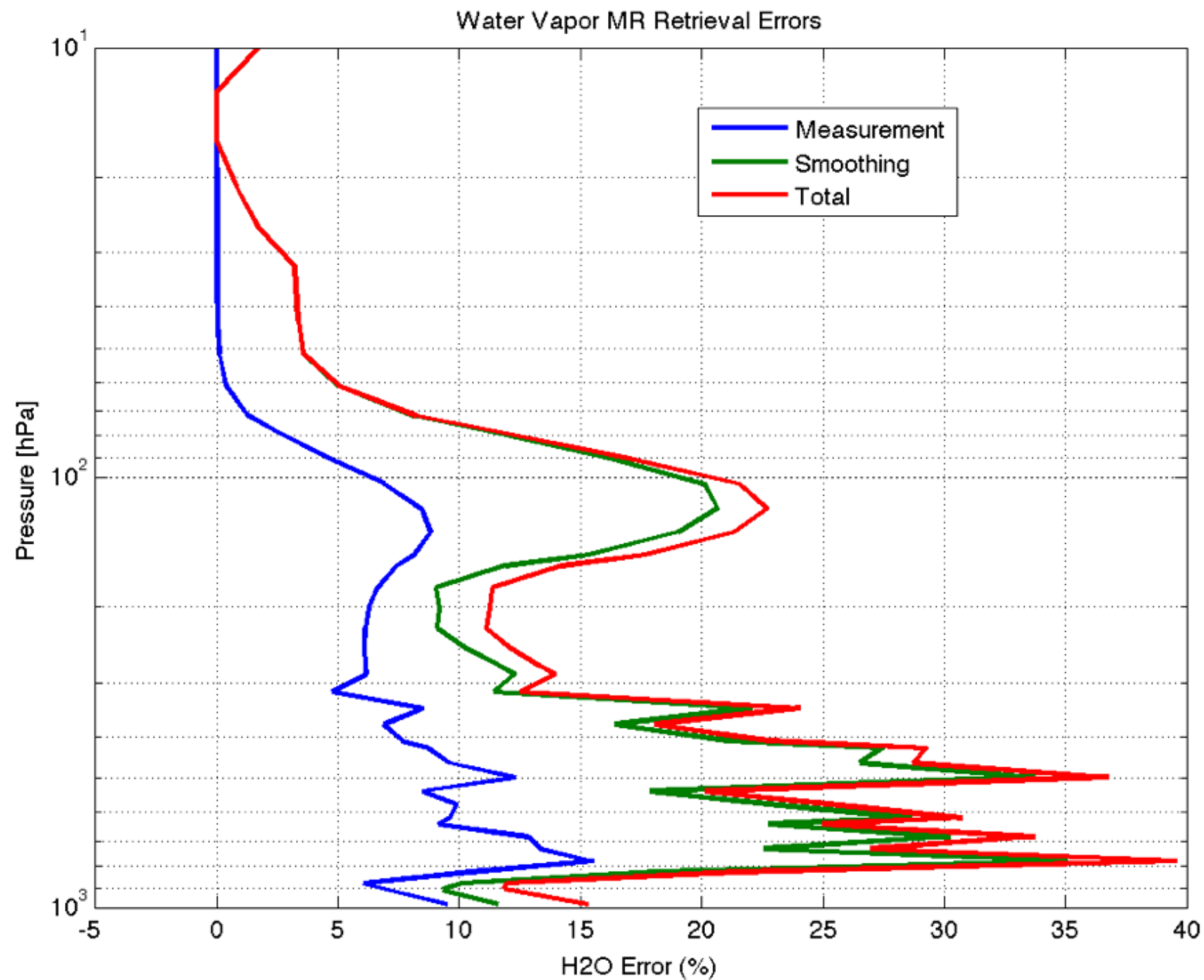
S3: BT residuals



Solution: T Retrieval Errors



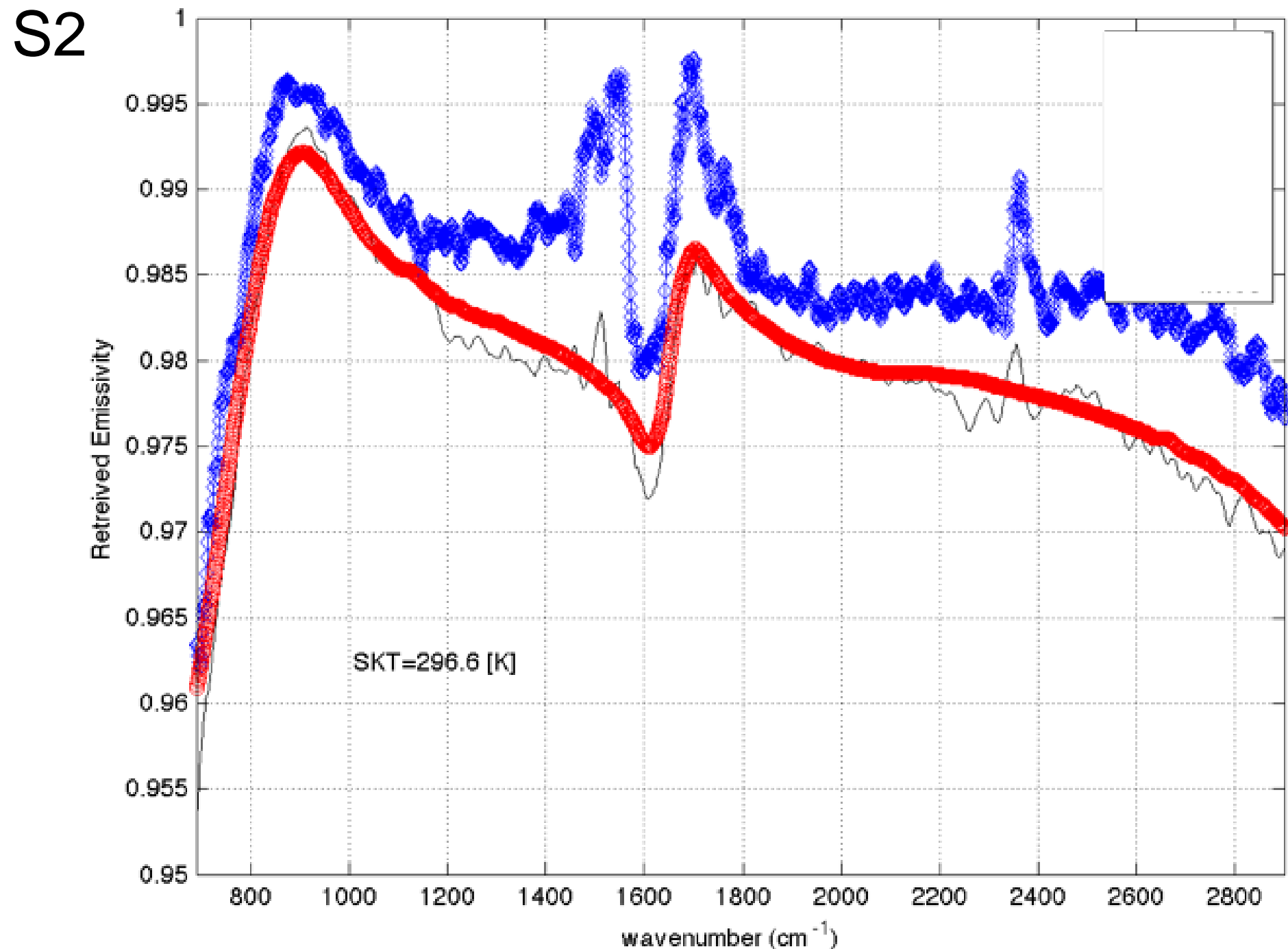
Solution: H₂O MR Retrieval Errors



Surface Emissivity Retrieval

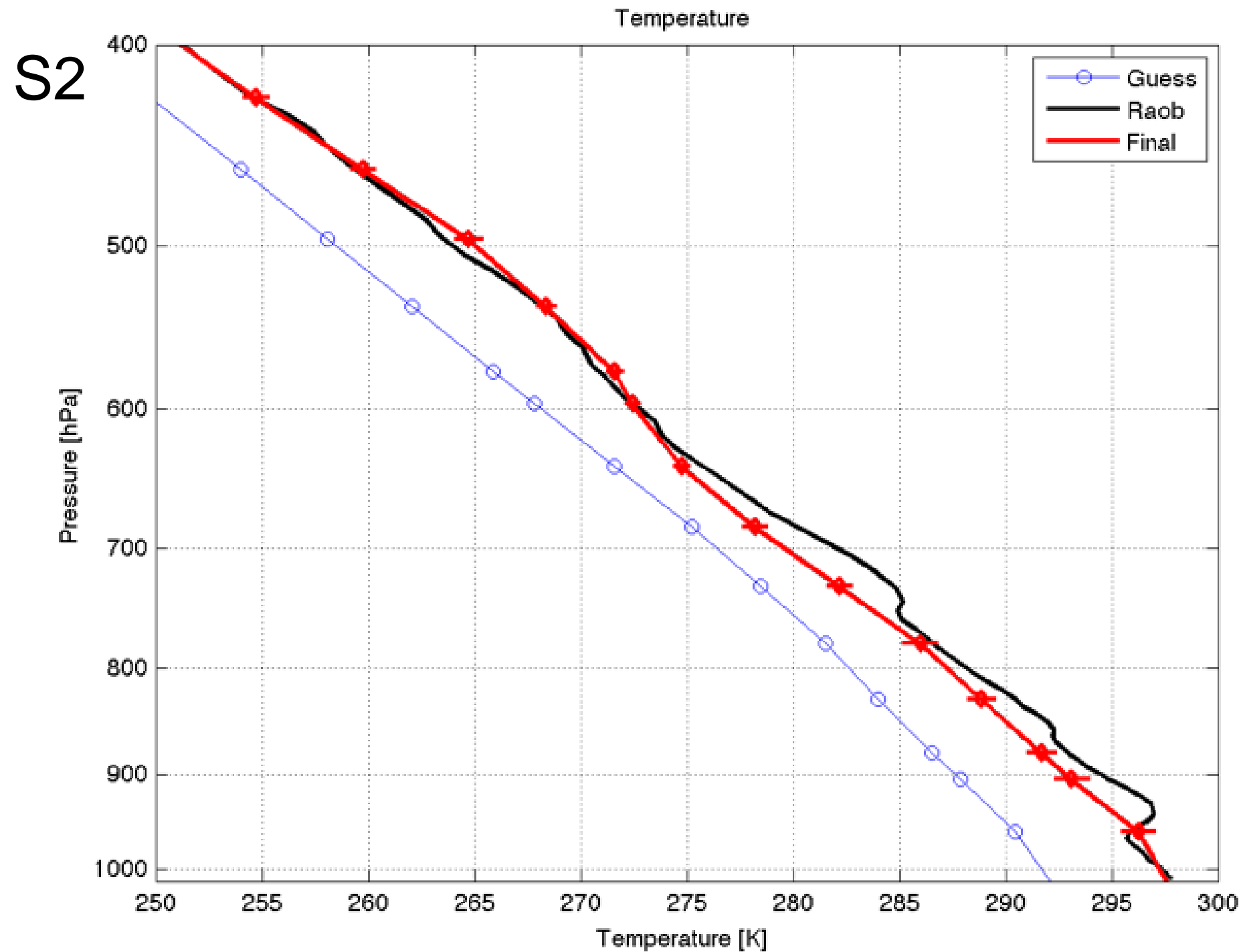
- Surface Emissivity is calculated as combination of 6 basis functions (Principal Components) therefore the whole emissivity spectrum is represented by 6 coefficients only;
- Basis functions are derived from a predefined set of emissivities through PCA;
- In the current version of the algorithm the emissivity Jacobians K_{emis} is multiplied by the basis functions (interpolated on the selected channel grid) element by element. The product is then used as Jacobian for the emissivity coefficients;
$$K'_{emis} = K_{emis} \cdot \text{interpolated}(PC)$$
- Emissivity is therefore highly dependent on the PC used to represent it;

Surface Emissivity and Surface Temperature

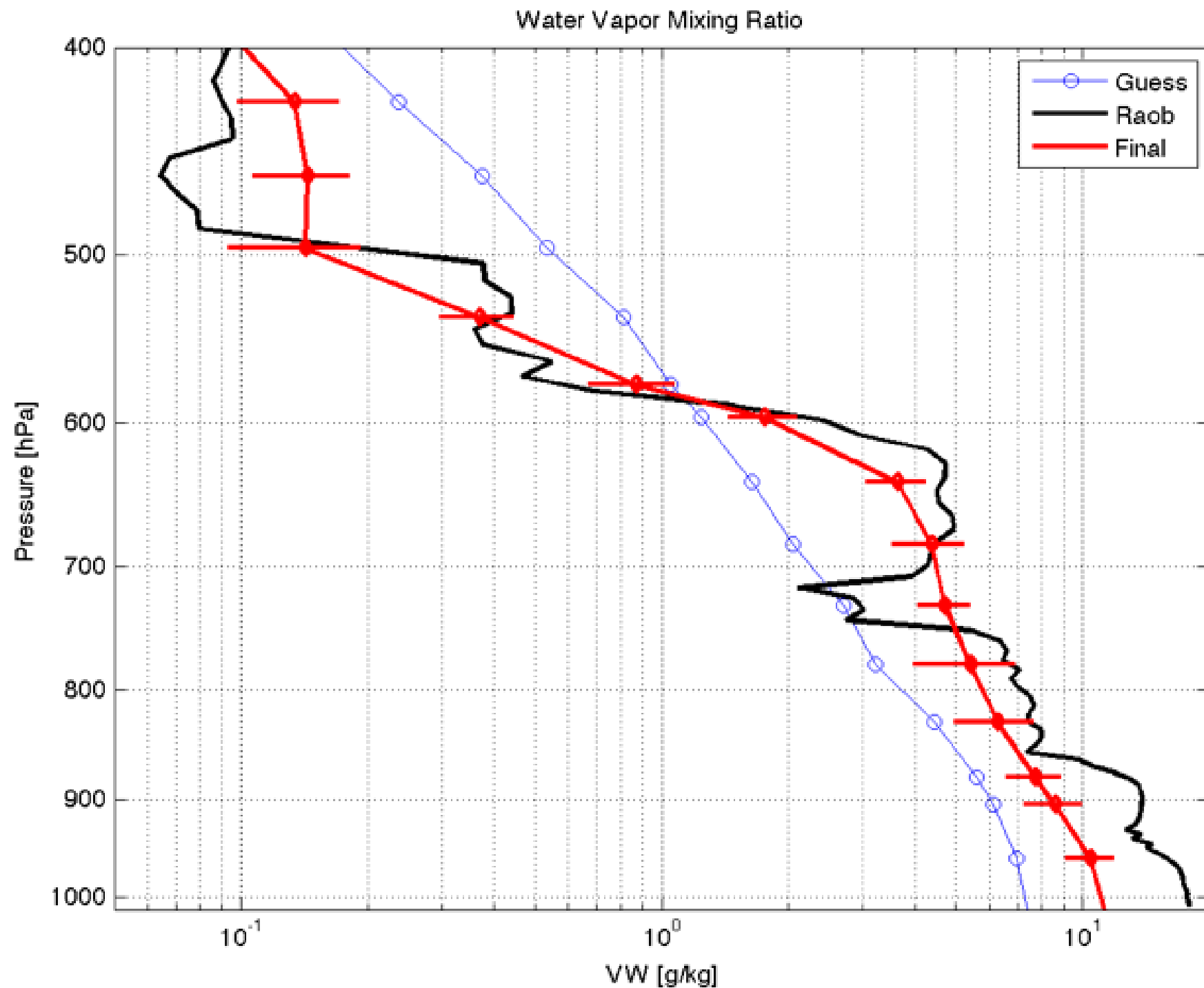


ARIES
Estimated
ST= 297.4K

Temperature Retrieval



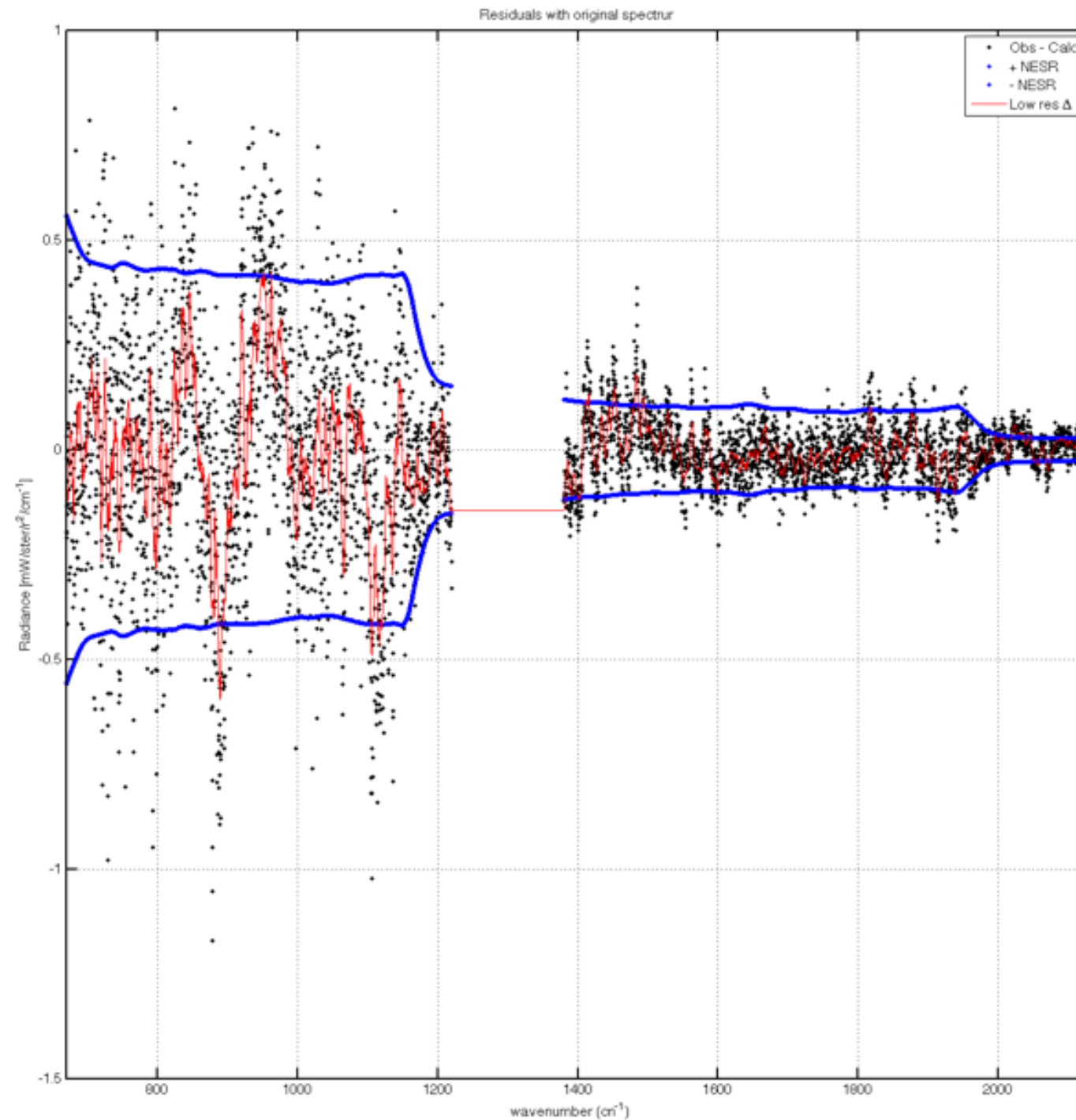
Water Vapor Mixing Ratio



S2

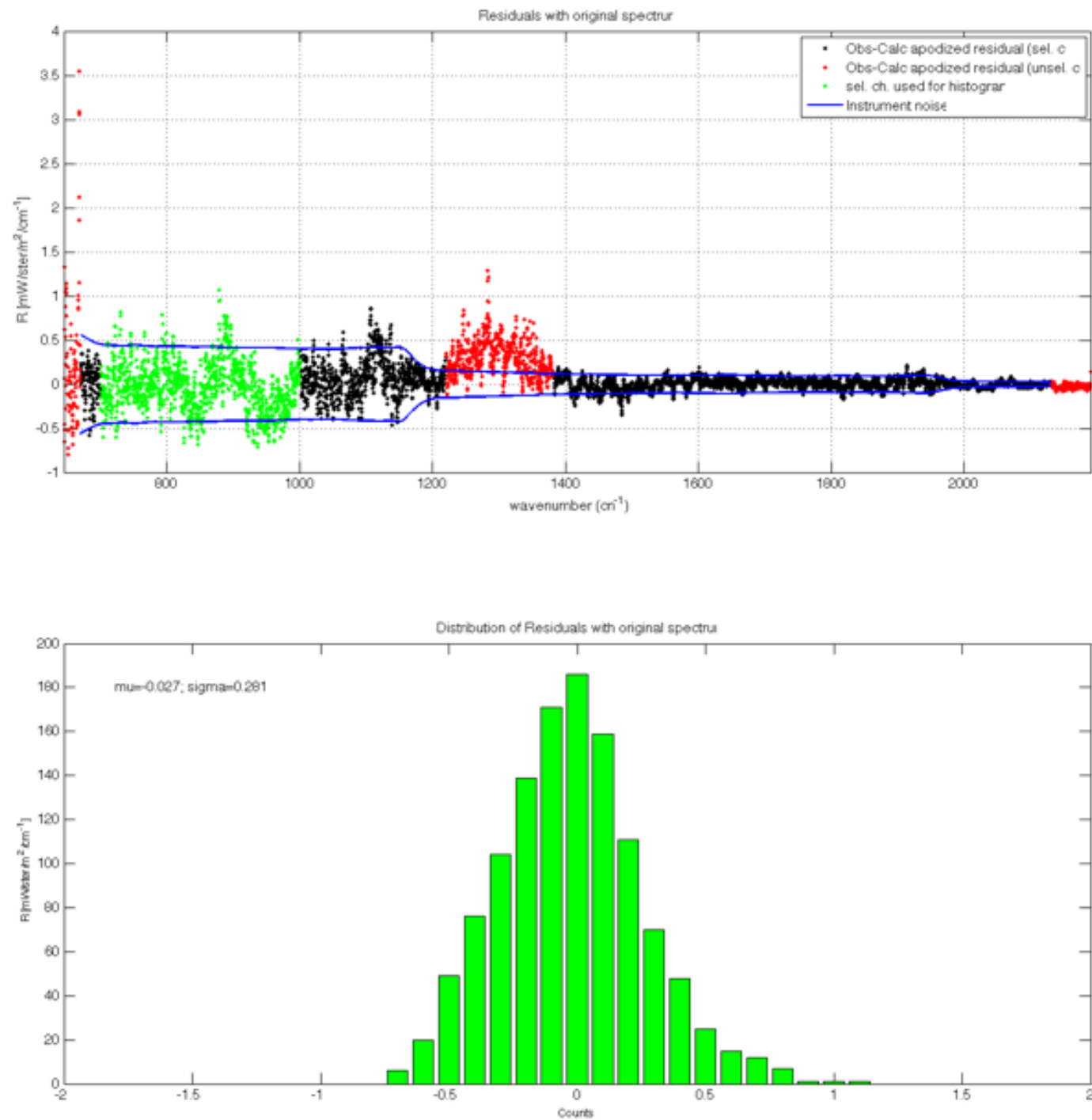
Radiance Residuals

S2



BT residuals

\$2

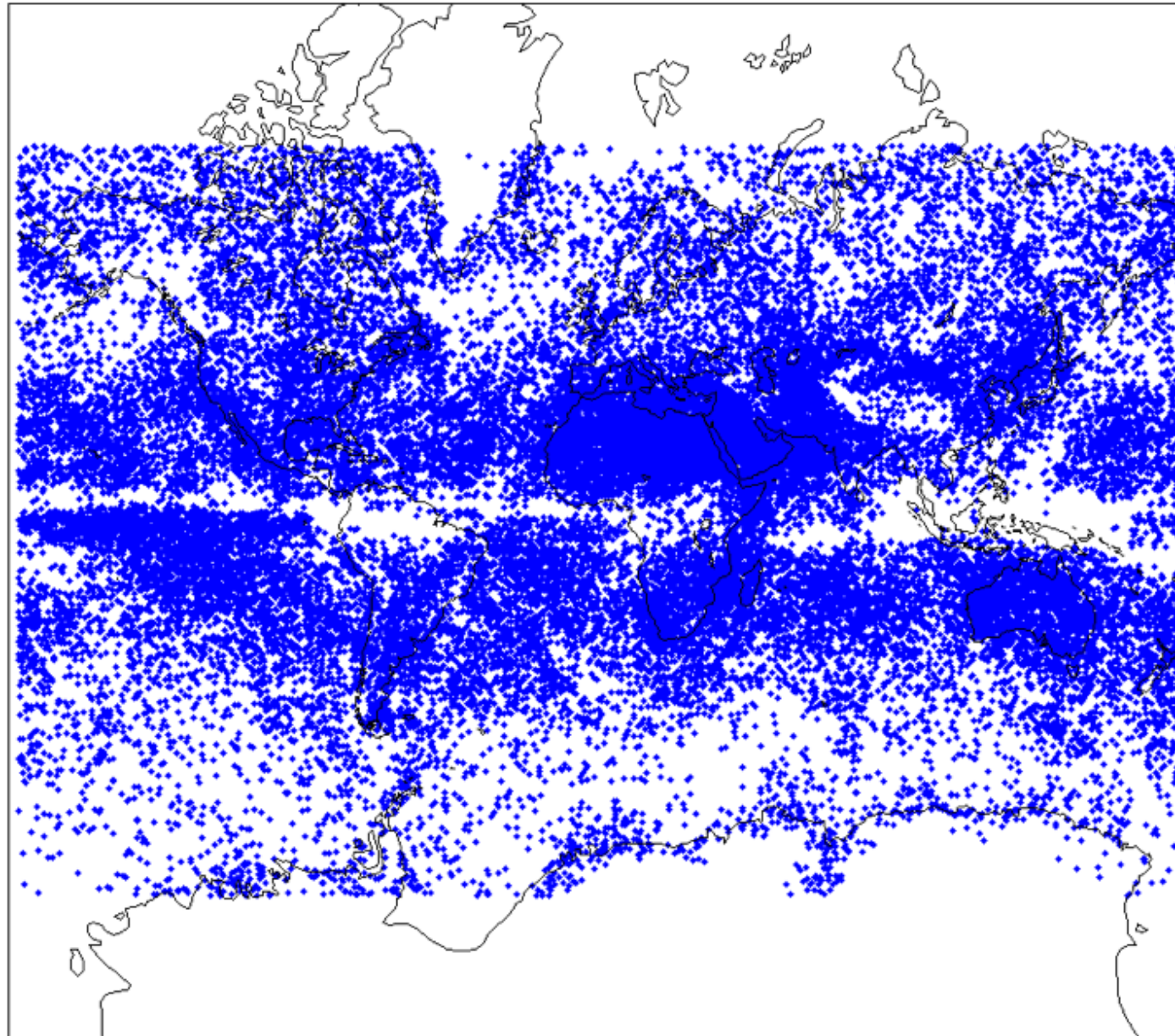


Using PCA noise filter to evaluate Level 2 products

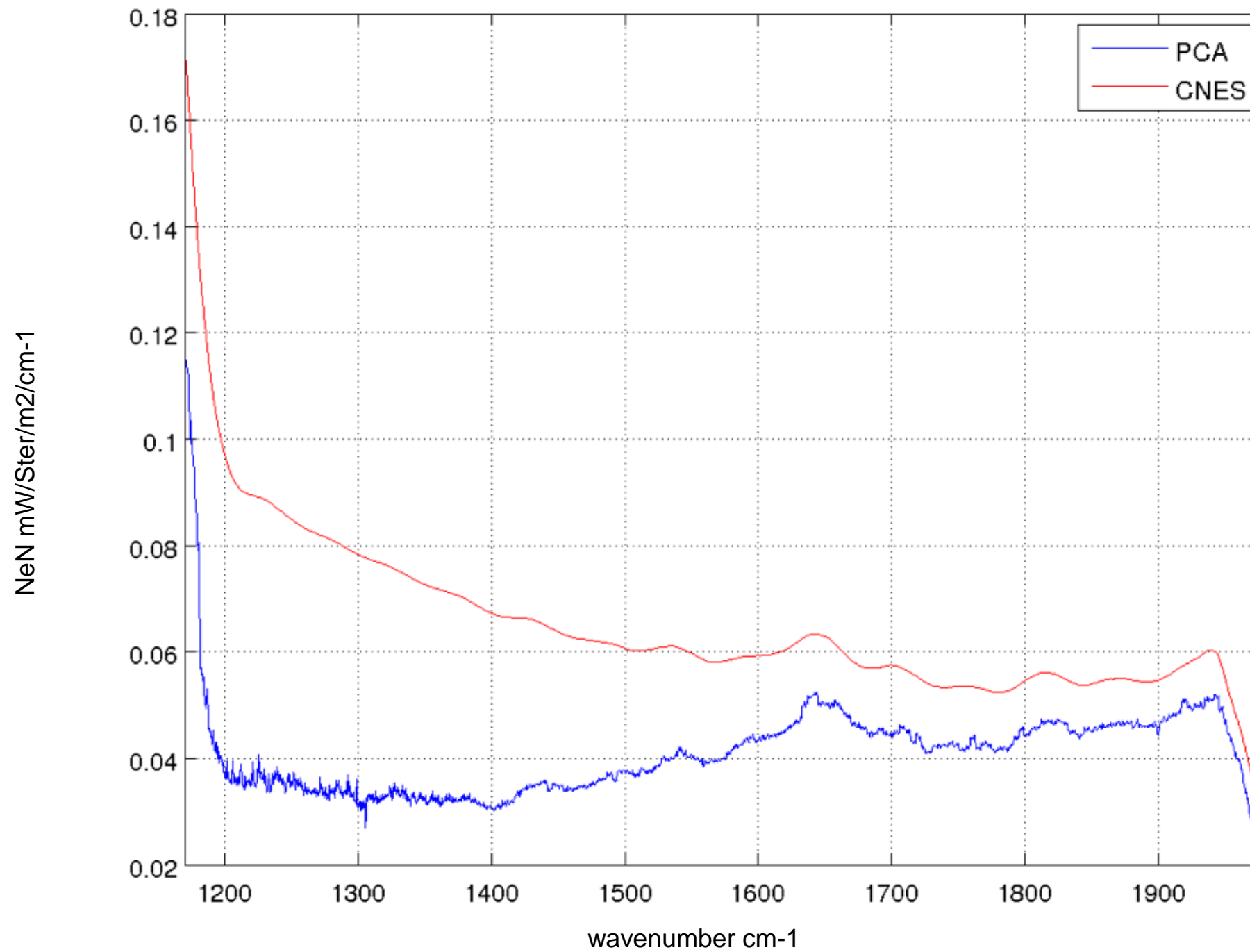
What was done

- Metaiasi (developed by Ray Garcia) was used to generate data sets containing selected IASI observations;
- Training datasets was created
 - Global Observations, Clear sky, All Angles, All Detectors;
- Principal components were generated for the training dataset and used to:
 - generate IASI NeN estimates;
 - noise filter IASI observations to evaluate retrieval residuals;

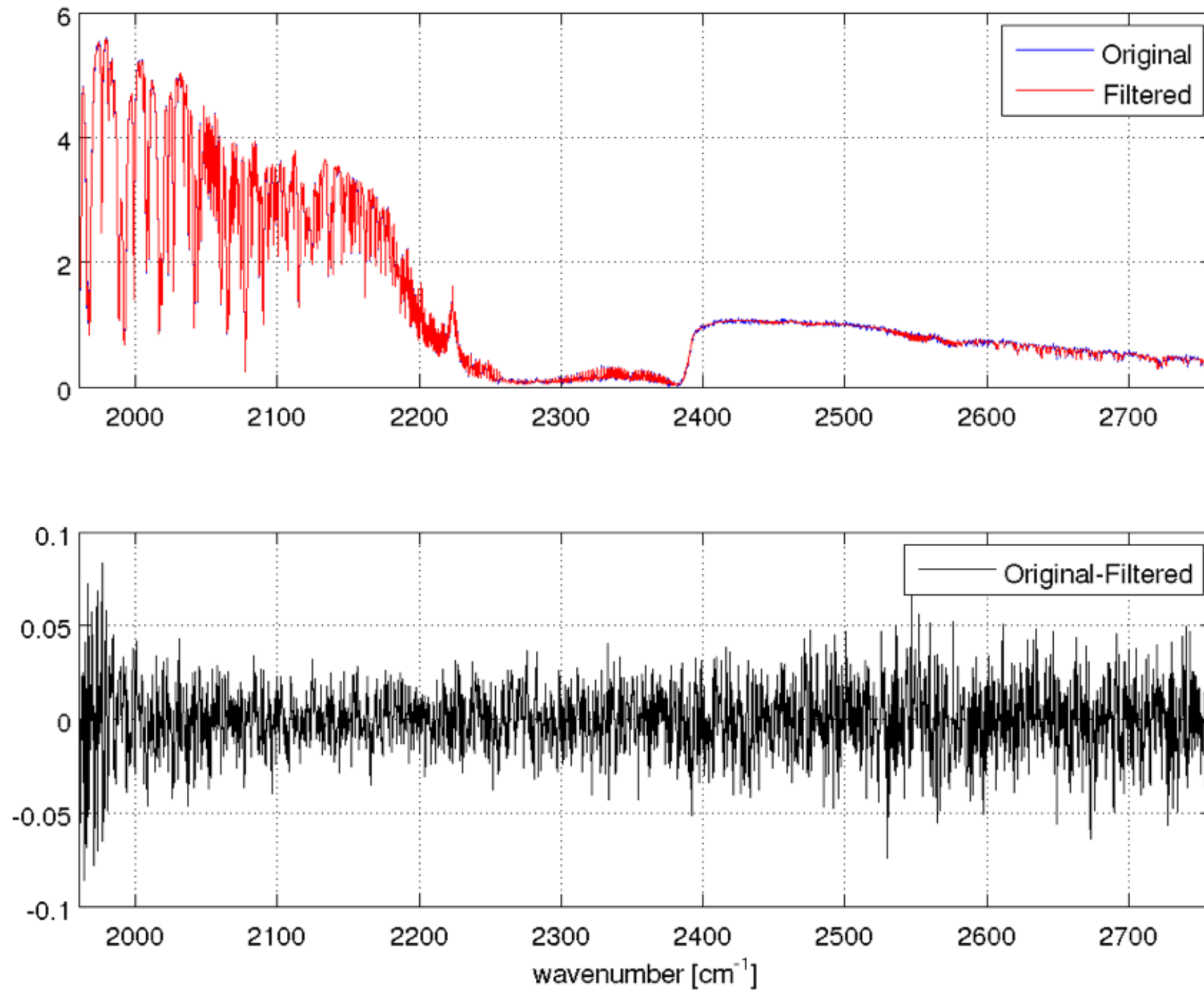
Training Observation Distribution



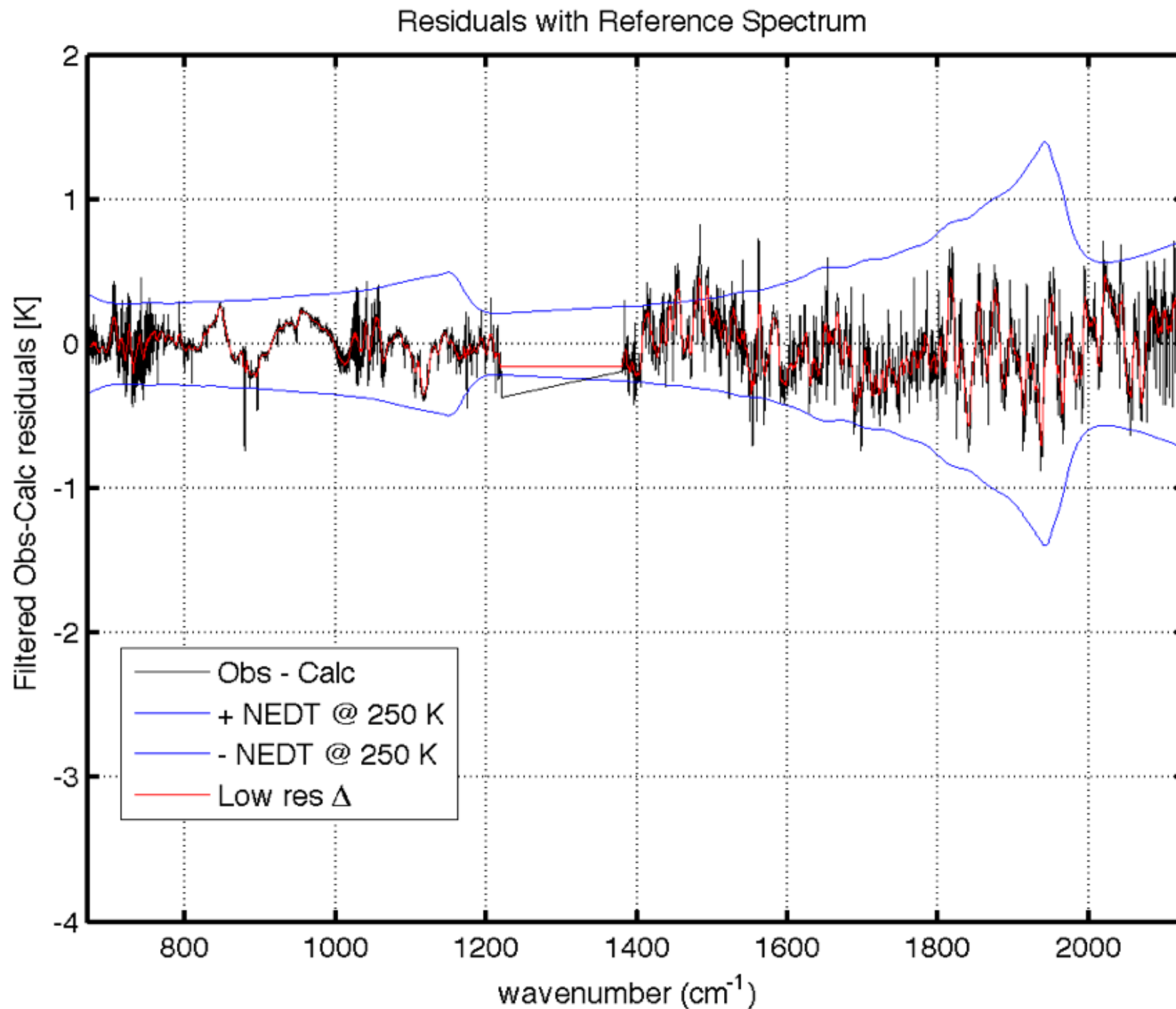
PC based noise estimation



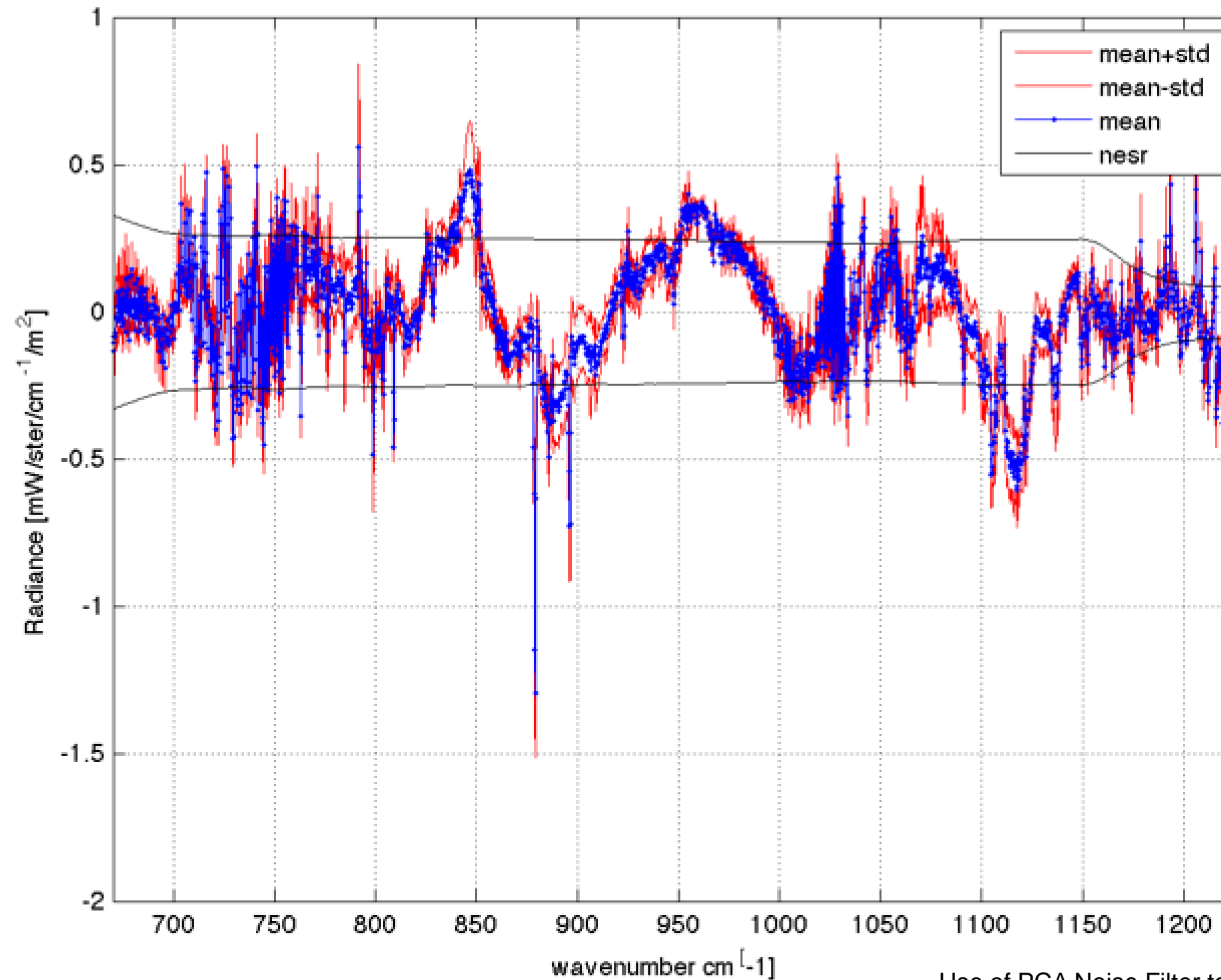
Filtered - Unfiltered



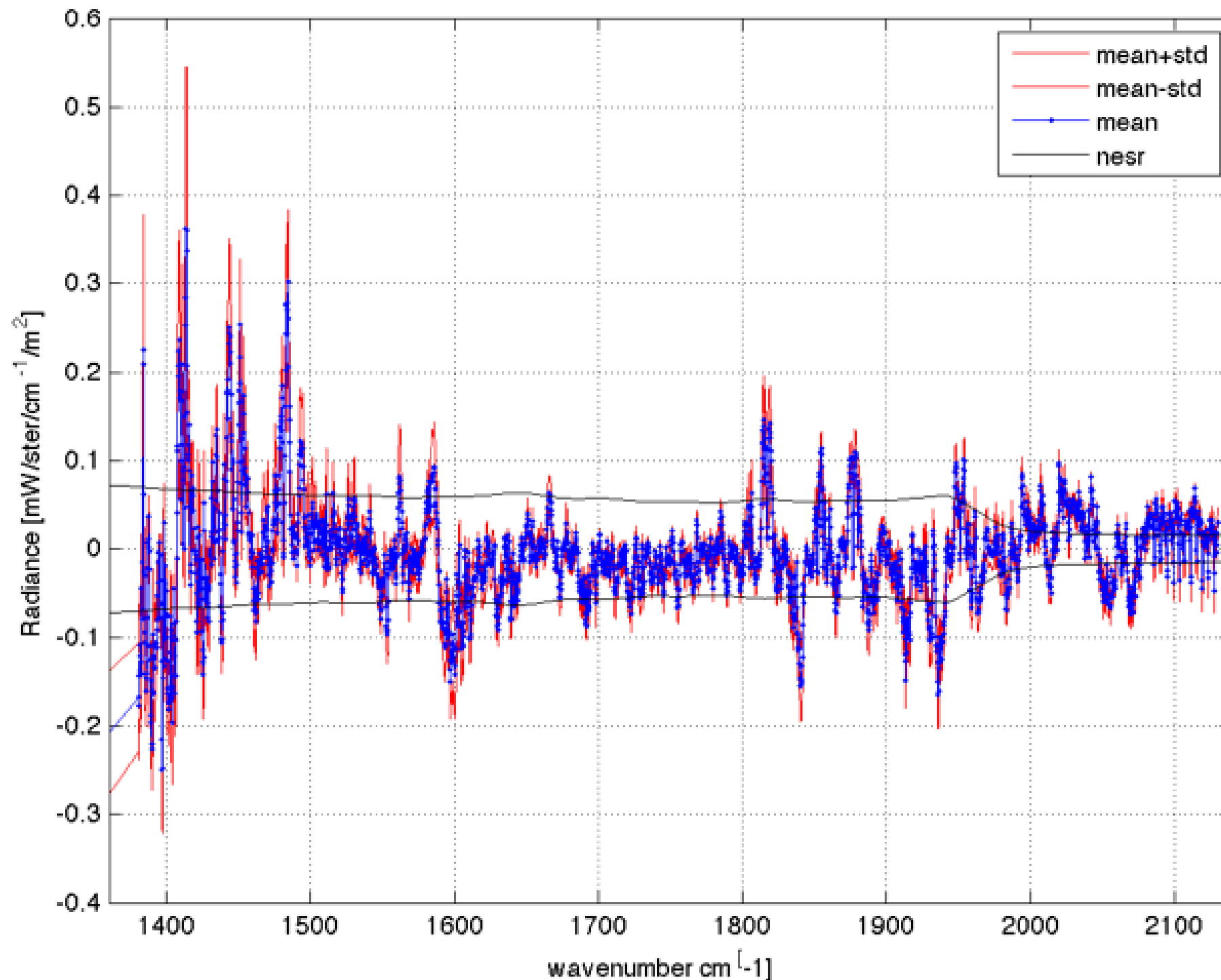
S3 Unfiltered/Filtered BT Residuals



Mean and Std LW residuals (filtered data) for 5 cases

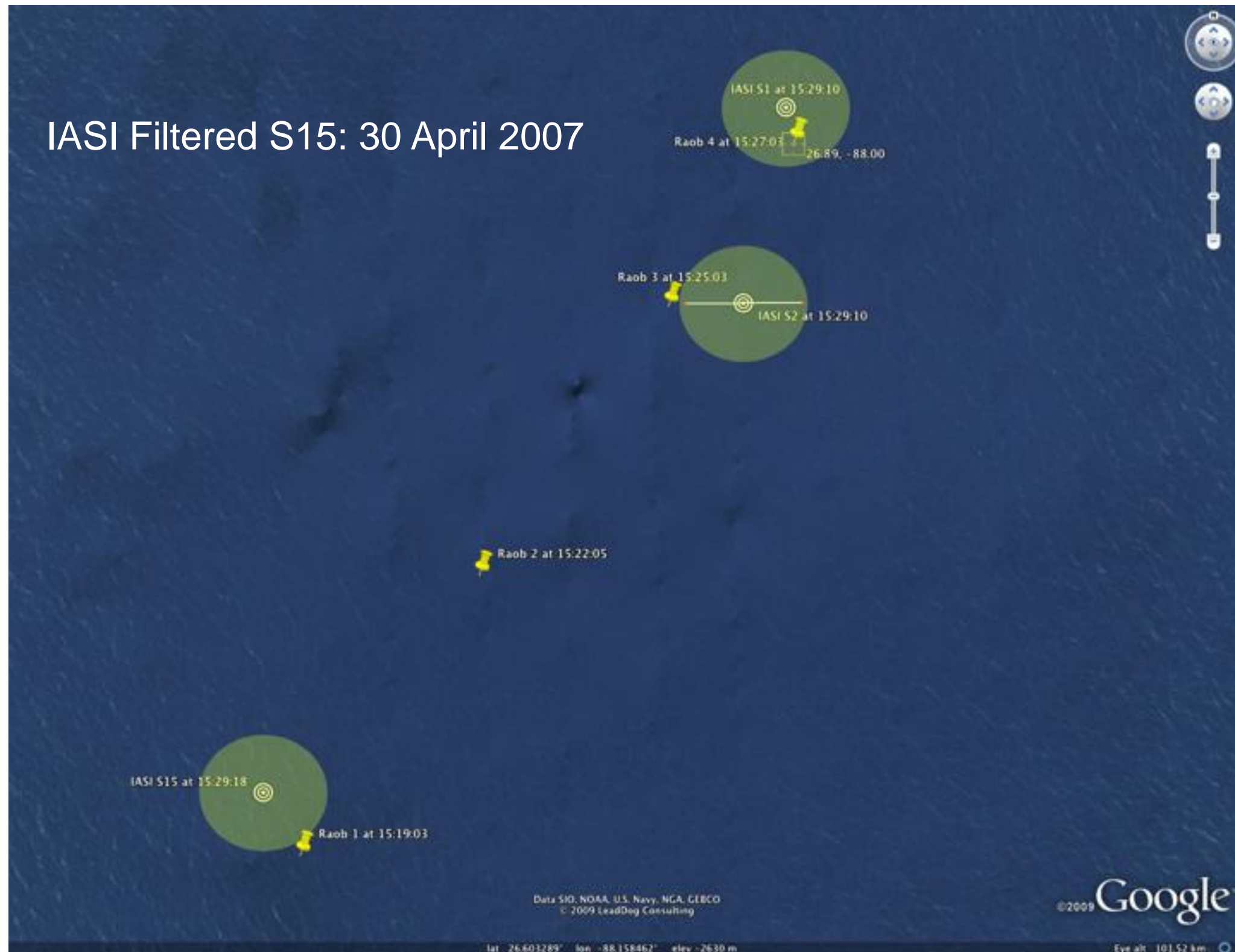


Mean and Std MW/SW residuals (filtered data) for 5 cases

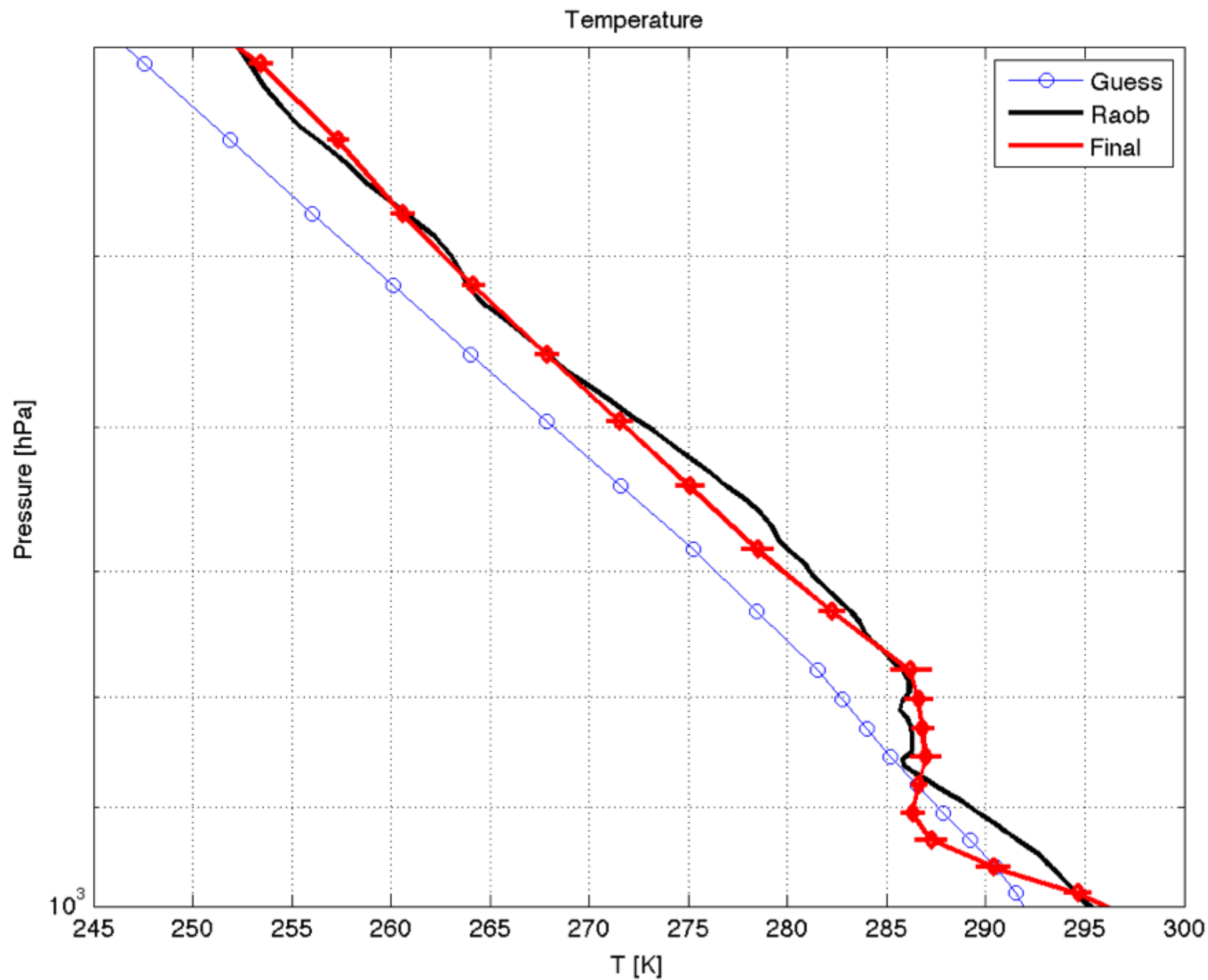


Retrieval from PCA Noise Filtered Radiances

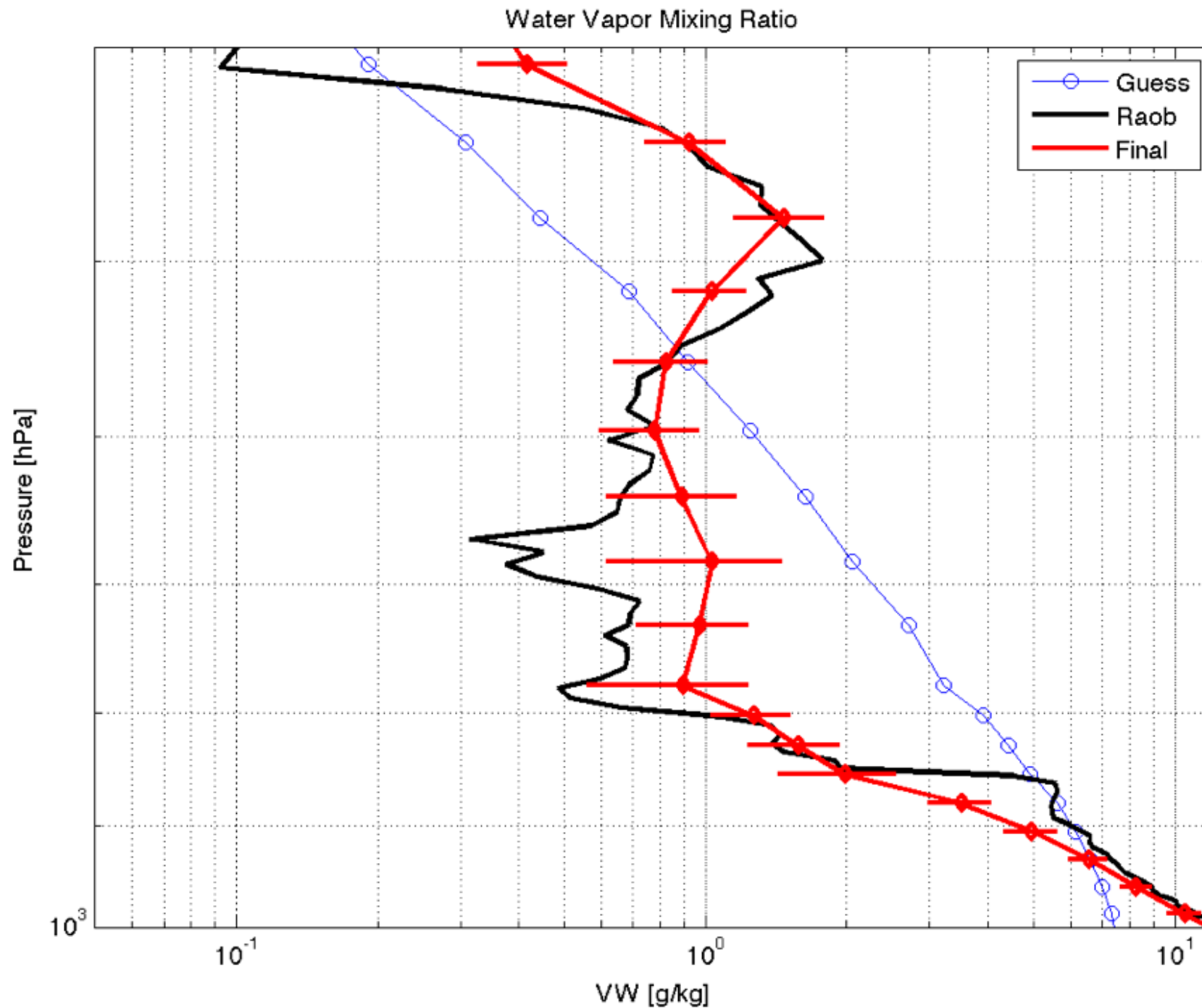
IASI Filtered S15: 30 April 2007



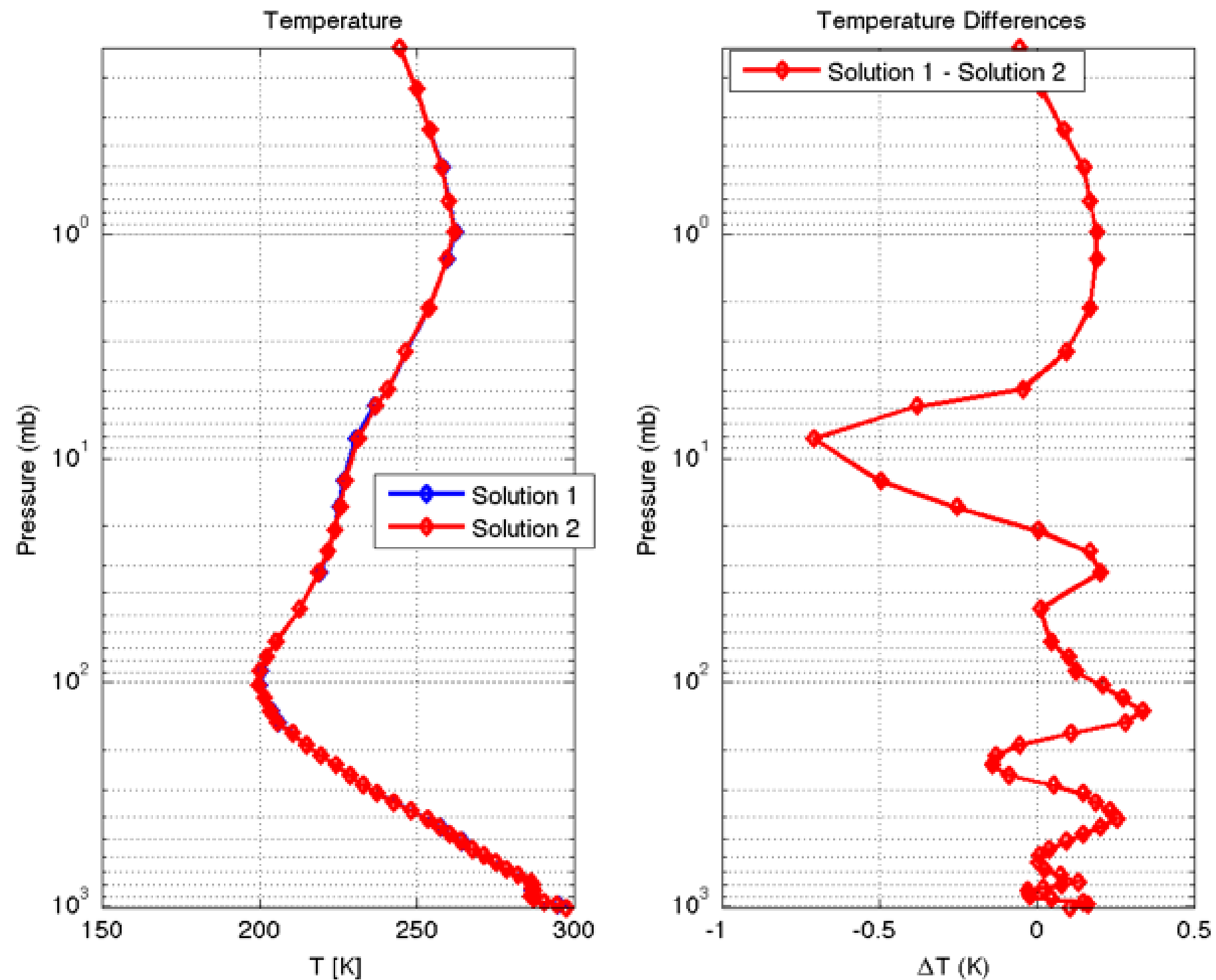
Filtered (S15) Temperature Retrieval



Filtered(S15) Water Vapor Mixing Ratio

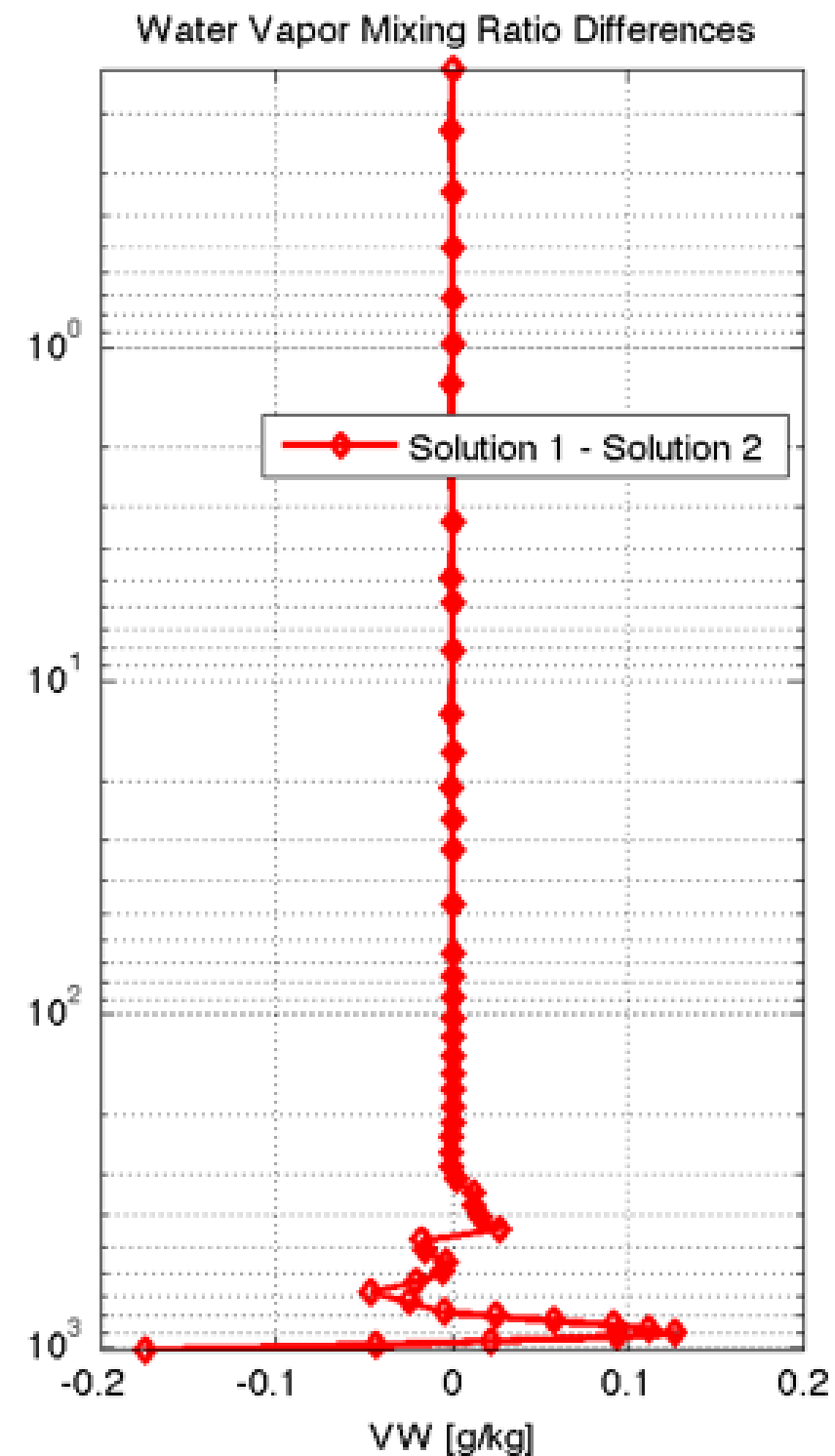
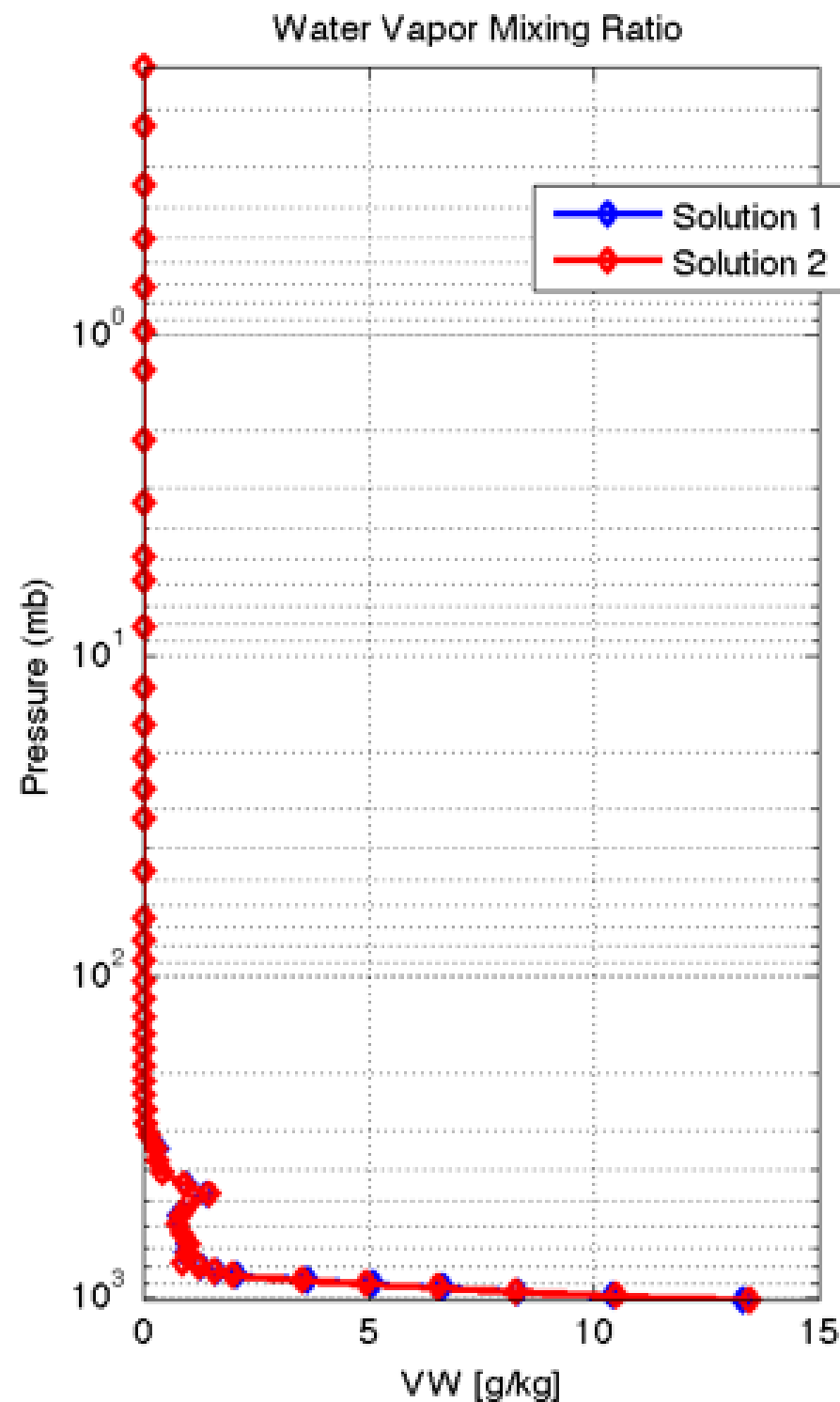


Unfiltered/Filtered T retrieval differences



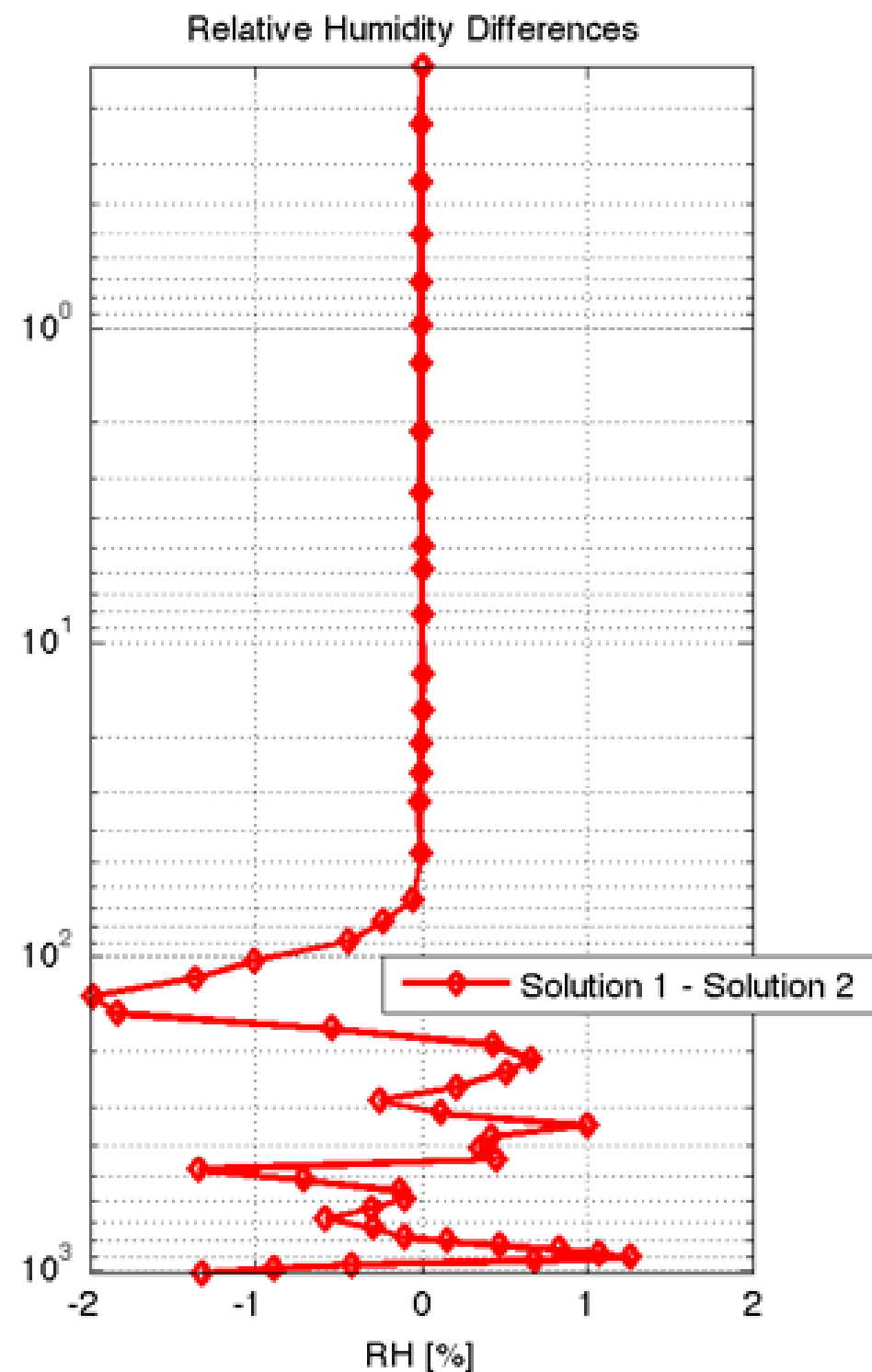
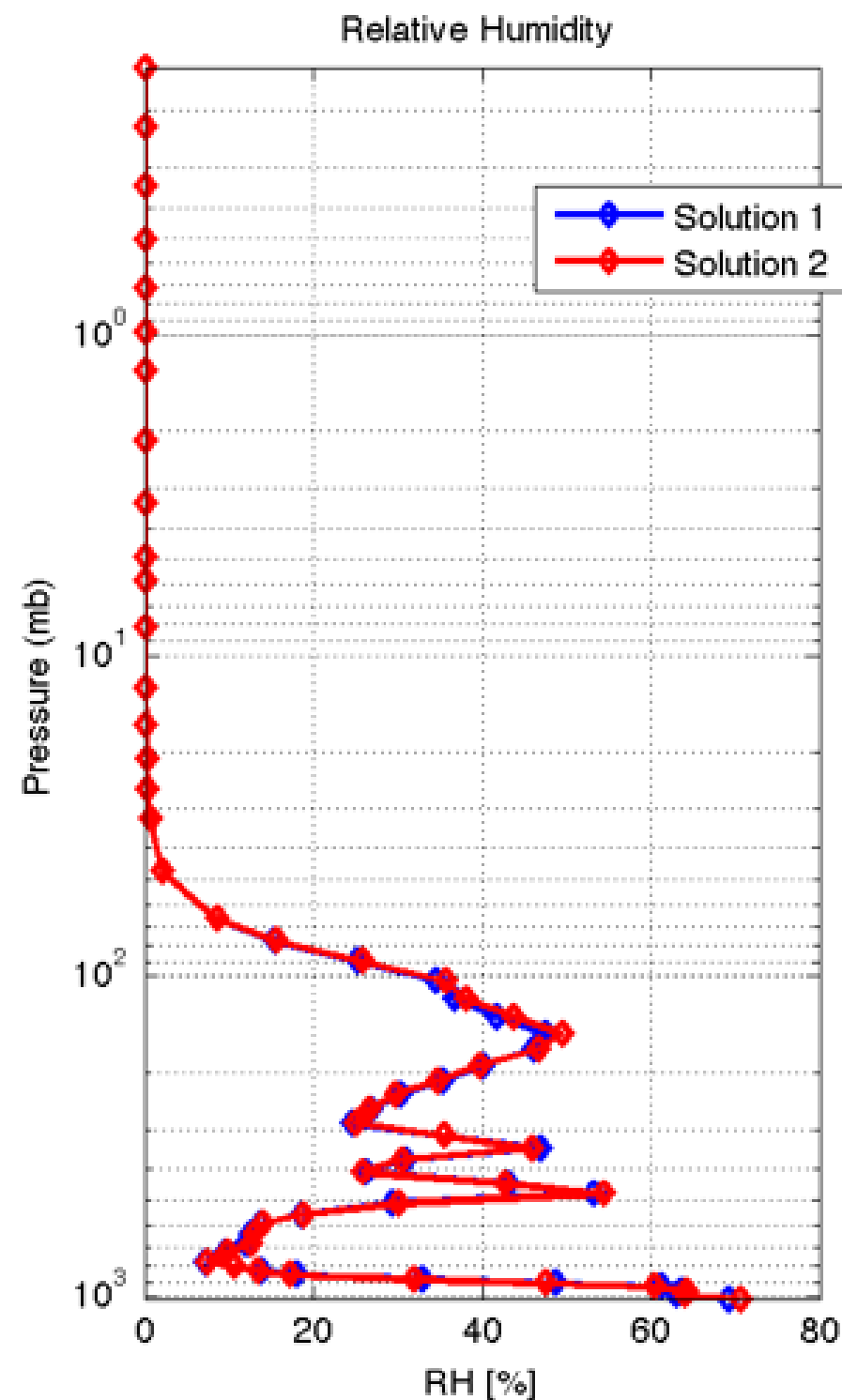
Retrieval from PCA Noise Filtered Radiances

Unfiltered/Filtered MR retrieval differences



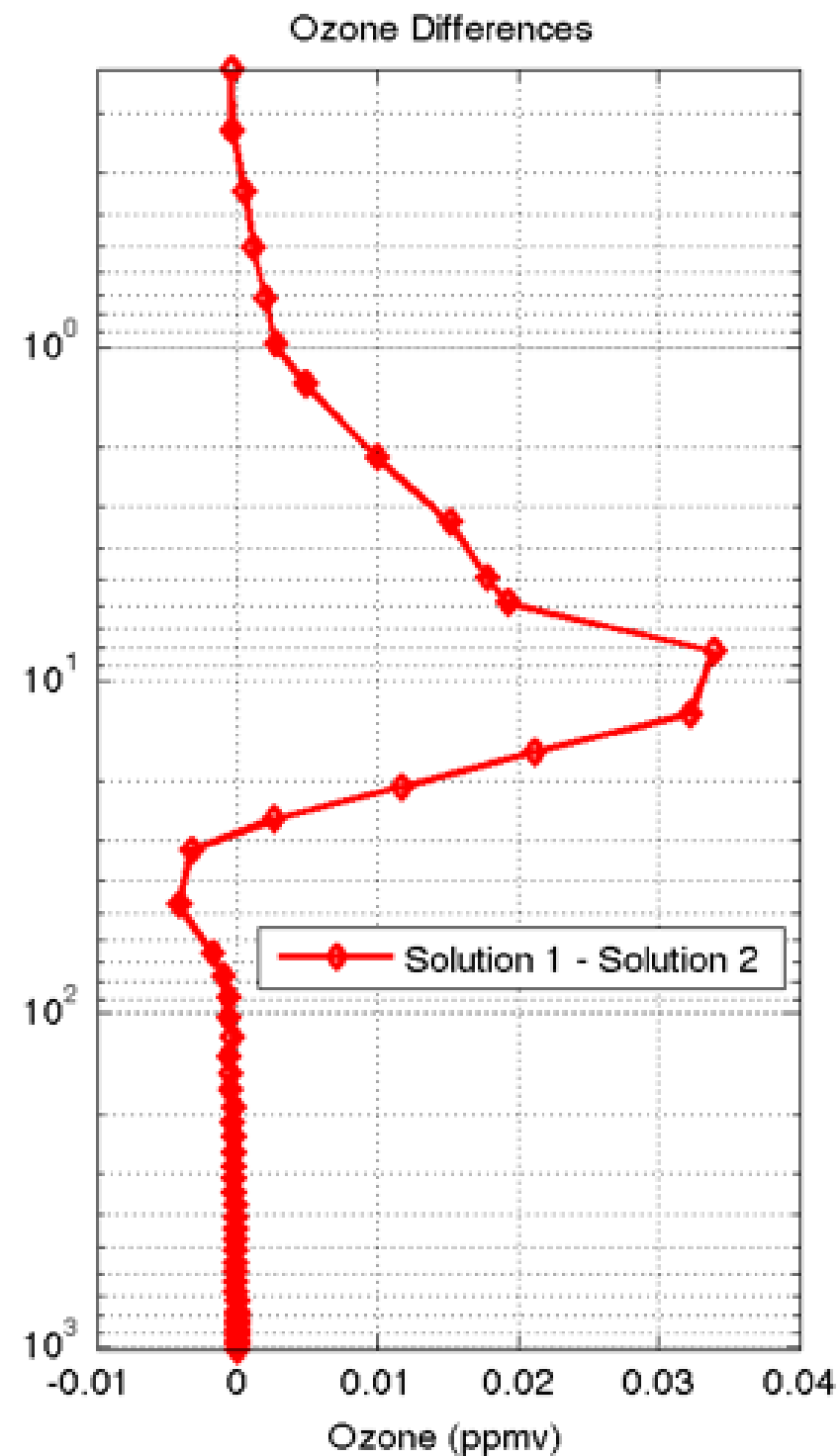
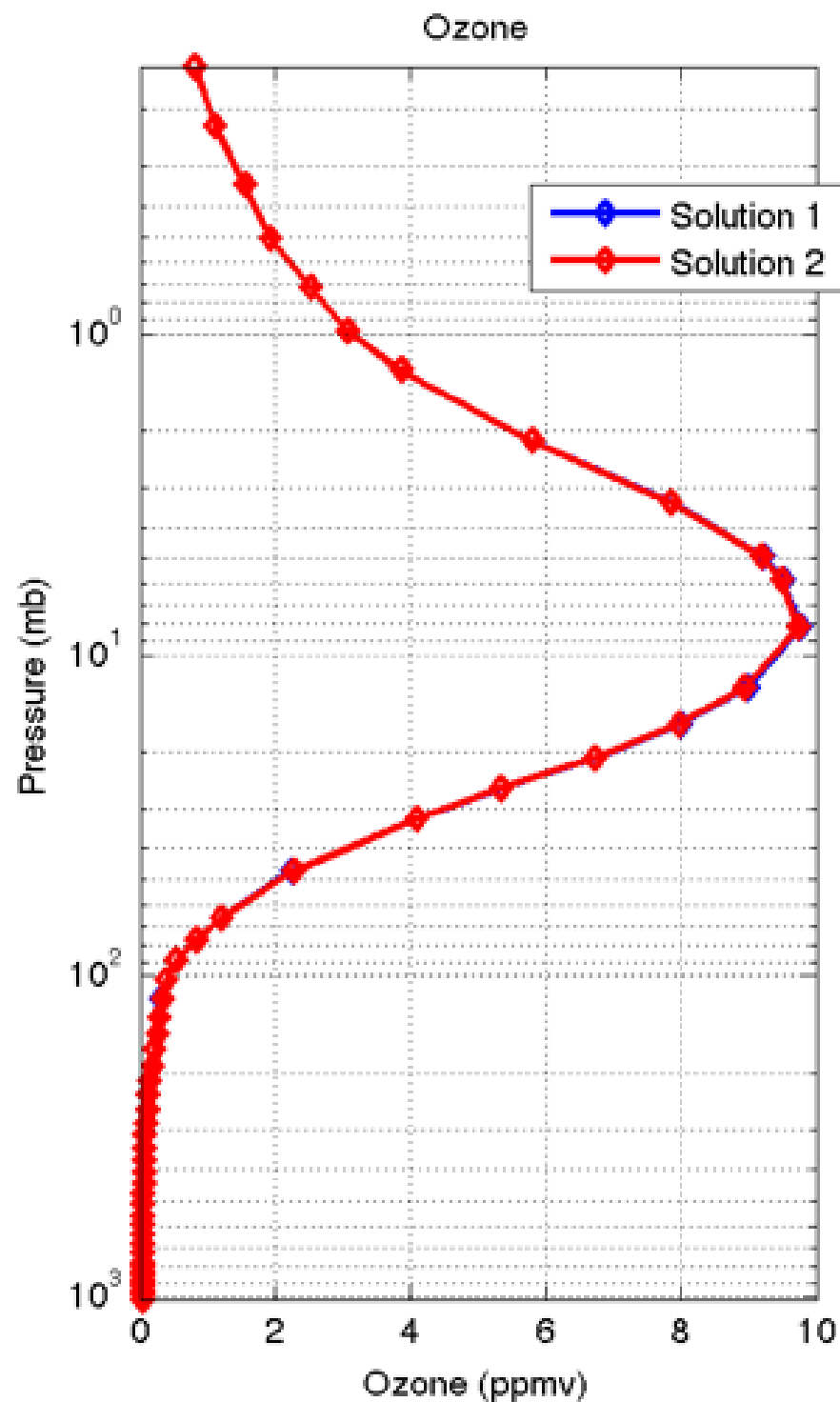
Retrieval from PCA Noise Filtered Radiances

Unfiltered/Filtered RH retrieval differences

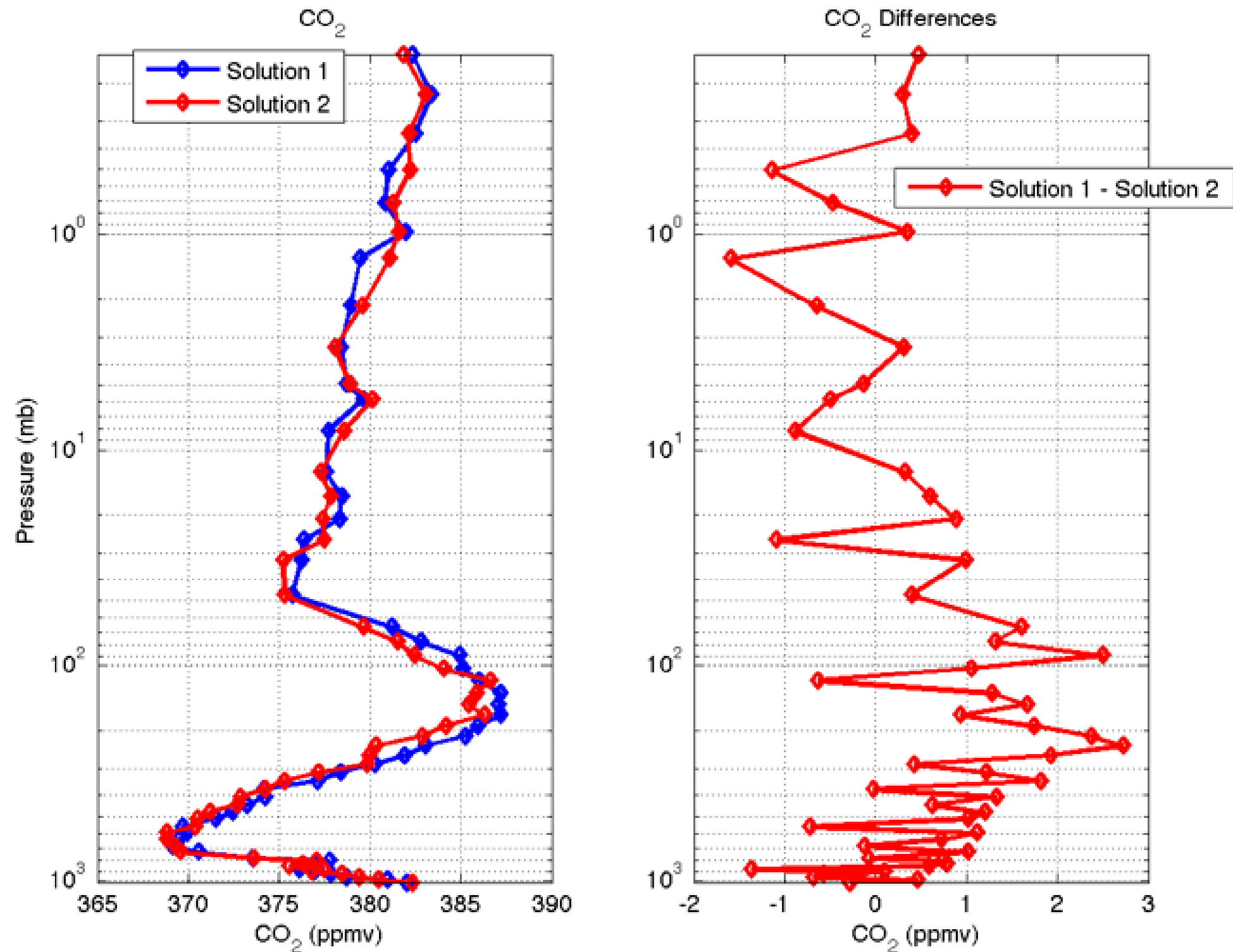


Retrieval from PCA Noise Filtered Radiances

Unfiltered/Filtered Ozone retrieval differences



Unfiltered/Filtered CO₂ retrieval differences



Retrieval from PCA Noise Filtered Radiances

Conclusions on UWPHYSRET

- Attempt to build a *reference* physical retrieval package based on Clive Rodger's methodology (Bayesian approach);
- currently uses LBLRTM 11.6 or SARTA but is going to be extended to other fast models (OSS);
- provides common framework to:
 - compare retrievals obtained from different instruments;
 - compare retrievals obtained with different forward models;
- UWPHYSRET is currently used to:
 - assess impact of PCA compression (and/or noise filtering) on level 2 products;
 - assess accuracy of level 3 products derived from IASI observations;

Conclusions on UWPHYSRET applied to JAIVEx

- Use of JAIVEx data provided valuable indication on the general accuracy of Level 2 products derived with UWPHYSRET;
- PCA noise filtering of retrieval residual was found useful in identifying spectral structures in retrieval residuals and has the potential to be used to evaluate retrieval biases;
- Preliminary results obtained from PCA noise filtered radiances indicated that PCA causes variation in the tropospheric part of retrieved profile within .3 K in temperature and .2 g/kg in water vapor mixing ratio (about 1% in RH);
 - PCs were derived in the simplest way with no quality control on input radiances;

Some references

- C. Rodgers 2000: Inverse Methods for Atmospheric Soundings. World Scientific.
- David Tobin, Paolo Antonelli, Henry Revercomb, Steven Dutcher, David Turner, Joe Taylor, Robert Knuteson, and Kenneth Vinson, 2007: Hyperspectral Data Noise Characterization using Principle Component Analysis: Application to the Atmospheric Infrared Sounder. *J. Appl. Remote Sens.* 1, 013515 (2007) doi: 10.1117/1.2757707
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